

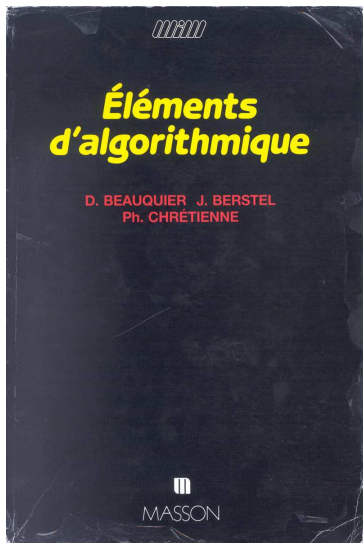
# Résultats récents sur deux problèmes anciens

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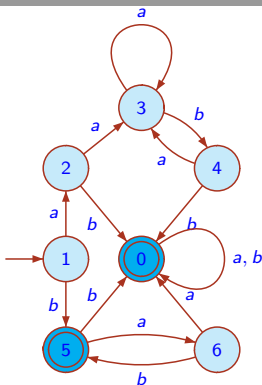
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- 1 Hopcroft's algorithm
  - Éléments d'algorithmique
  - Minimal automata
  - History
  - The algorithm
- 2 Tiling by Translation
  - Exact Polyominoes
  - Pseudosquares



- C'est dans ce livre qu'est paru la première rédaction (et la seule à ce jour, je crois), à l'usage des étudiants d'université, de l'algorithme de Hopcroft.
- Cette rédaction a été faite par Danièle Beauquier.

# Automata



Each state  $q$  defines a language  $L_q = \{w \mid q \cdot w \text{ is final}\}$ .

The automaton is **minimal** if all languages  $L_q$  are distinct.

Here  $L_2 = L_4$ . States 2 and 4 are **(Nerode) equivalent**.

The Nerode equivalence is the coarsest partition that is compatible with the next-state function.

## Refinement algorithm

Starts with the partition into two classes **05** and **12346**.

Tries to refine by splitting classes which are not compatible with the next-state function.

A first refinement: **12346**  $\rightarrow$  **1234|6** because **6 · a** is final.

A second refinement: **05**  $\rightarrow$  **0|5** because of **0 · a** is final.

# History of Hopcroft's algorithm

## History

- Hopcroft has developed in 1970 a minimization algorithm that runs in time  $O(n \log n)$  on an  $n$  state automaton (discarding the alphabet).
- No faster algorithm is known for general automata.

## Question

- Question: is the time estimation sharp ?
- A first answer, by Berstel and Carton: there exist automata where you need  $\Omega(n \log n)$  steps if you are "unlucky". These are related to De Bruijn words.
- A better answer, by Castiglione, Restivo and Sciortino: there exist automata where you need always  $\Omega(n \log n)$  steps. These are related to Fibonacci words.
- The same holds for all Sturmian words whose directive sequence have bounded geometric means.

# Splitter

$A = (Q, i, F)$  automaton on the alphabet  $A$ . Let  $\mathcal{P}$  be a partition of  $Q$ .

## Definition

A **splitter** is a pair  $(P, a)$ , with  $P \in \mathcal{P}$  and  $a \in A$ .

The aim of a splitter is to split another class of  $\mathcal{P}$ .

## Definition

The splitter  $(P, a)$  **splits** the class  $R \in \mathcal{P}$  if

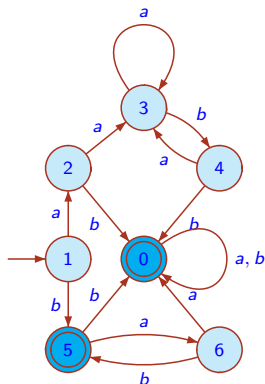
$$\emptyset \subsetneq P \cap R \cdot a \subsetneq R \cdot a \text{ or equivalently if } \emptyset \subsetneq a^{-1}P \cap R \subsetneq R.$$

Here  $a^{-1}P = \{q \mid q \cdot a \in P\}$ .

## Notation

In any case, we denote by  $(P, a)|R$  the partition of  $R$  composed of the nonempty sets among  $a^{-1}P \cap R$  and  $R \setminus a^{-1}P$ . The splitter  $(P, a)$  splits  $R$  if  $(P, a)|R \neq \{R\}$ .

# Example



- Partition  $\mathcal{P} = 05 \mid 12346$ .
- Splitter  $(05, a)$ . One has  $a^{-1}05 = 06$ .
- The splitter splits both  $05$  and  $12346$ .
- One gets  
 $(05, a) \mid 05 = 0 \mid 5$  and  $(05, a) \mid 12346 = 1234 \mid 6$

## Notation

$\mathcal{P}$  is the current partition.  $\mathcal{W}$  is the waiting set.

## Hopcroft's algorithm

- |  |  |
|--|--|
| 1: $\mathcal{P} \leftarrow \{F, F^c\}$   | ▷ The initial partition                              |
| 2: <b>for all</b> $a \in A$ <b>do</b>  |  |
| 3: $\text{ADD}((\min(F, F^c), a), \mathcal{W})$  | ▷ The initial waiting set                            |
| 4: <b>while</b> $\mathcal{W} \neq \emptyset$ <b>do</b>   |  |
| 5: $(W, a) \leftarrow \text{TAKESOME}(\mathcal{W})$  | ▷ takes some splitter in $\mathcal{W}$ and remove it |
| 6: <b>for each</b> $P \in \mathcal{P}$ which is split by $(W, a)$ <b>do</b>                    |  |
| 7: $P', P'' \leftarrow (W, a) \mid P$  | ▷ Compute the split                                  |
| 8: $\text{REPLACE } P \text{ by } P' \text{ and } P'' \text{ in } \mathcal{P}$                 | ▷ Refine the partition                               |
| 9: <b>for all</b> $b \in A$ <b>do</b>  | ▷ Update the waiting set                             |
| 10: <b>if</b> $(P, b) \in \mathcal{W}$ <b>then</b>   |  |
| 11: $\text{REPLACE } (P, b) \text{ by } (P', b) \text{ and } (P'', b) \text{ in } \mathcal{W}$ |  |
| 12: <b>else</b>  |  |
| 13: $\text{ADD}((\min(P', P''), b), \mathcal{W})$  |  |

## Basic fact

Splitting all sets of the current partition by one splitter  $(C, a)$  has a total cost of  $\text{Card}(a^{-1}C)$ .



# Polyominoes

## History

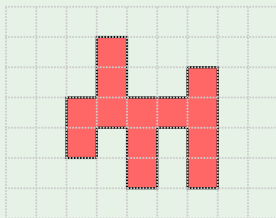
- Danièle Beauquier and Maurice Nivat have characterized those polyominoes that tile the plane by translation *On translating one polyomino to tile the plane* Discrete Math. 1991.
- The condition is a combinatorial property of circular words.
- The complexity of checking whether this condition holds is still open.
- In the particular case of so-called pseudo-squares, there exists a linear time algorithm, developed by Srečko Brlek, Xavier Provençal, Jean-Marc Fédou *On the tiling by translation problem*, Discrete Applied Math. 2009.

# Exact polyominoes

## Definition

A **polyomino** is a finite set of squares in the discrete plane which are simply 4-connected (without wholes).

## Example

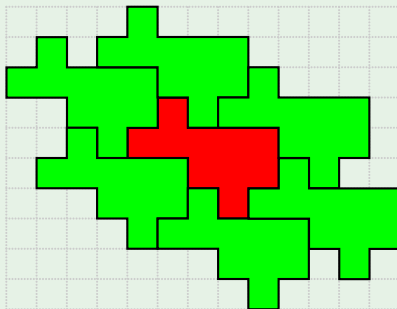


# Exact polyominoes

## Definition

A polyomino is **exact** if it tiles the plane by translation.

## Example

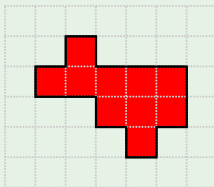


# Boundary of a polyomino

## Definition

The **boundary** of a polyomino is the circular word obtained by reading the the polygonal boundary in counterclockwise manner and encoding it over the alphabet  $\{a, \bar{a}, b, \bar{b}\}$ .

## Example



The boundary is

$a\bar{a}b\bar{a}bababb\bar{a}\bar{a}\bar{a}b\bar{a}\bar{b}\bar{a}\bar{b}$

# Theorem

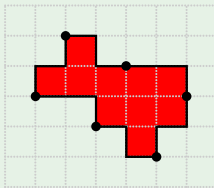
## Notation

We denote by  $\bar{\cdot}$  the mapping defined by  $\overline{uv} = \bar{v}\bar{u}$  for words  $u, v$ .

## Theorem (Beauquier, Nivat)

A polyomino tiles the plane by translation if and only if its boundary admits a factorization of the form  $uvw\bar{u}\bar{v}\bar{w}$  for some words  $u, v, w$ .

## Example



The boundary admits the factorization

$$aa\bar{b} \cdot a\bar{b}a \cdot bab \cdot b\bar{a}\bar{a} \cdot \bar{a}b\bar{a} \cdot \bar{b}\bar{a}\bar{b}$$

# Searching for aBN-factorization

## A naive algorithm

Given a word  $w$  of length  $n$ , do for each of the  $n$  conjugates of  $w$

- consider all  $n^2$  factorizations  $xyzstu$  with  $|x| = |s|, |y| = |t|, |z| = |u|$ .
- check whether  $x = \bar{s}, y = \bar{t}, z = \bar{u}$ .

Each positive answer gives a BN-factorization. The complexity is  $O(n^4)$ .

An algorithm in  $O(n^2)$  has been given by Gambini and Vuillon *An algorithm for deciding if a polyomino tiles the plane by translation* 2007.

# Pseudo-square

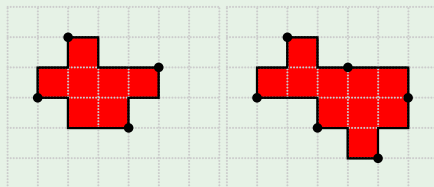
## Definition

A **pseudo-square** is a boundary that has a factorization of the form  $xy\bar{x}\bar{y}$  for nonempty words  $x, y$ .

## Note

A **pseudo-polygon** is a boundary with a factorization  $xyz\bar{x}\bar{y}\bar{z}$  for nonempty words  $x, y, z$ .

## Example (Pseudo-square and pseudo-polygon)



The first is a pseudo-square, and the second is a pseudo-polygon. BN-factorizations are

$$\bar{a}\bar{b}aa \cdot bab \cdot \bar{a}\bar{a}b\bar{a} \cdot \bar{b}\bar{a}\bar{b} \quad \text{and} \quad aa\bar{b} \cdot \bar{a}\bar{b}a \cdot bab \cdot b\bar{a}\bar{a} \cdot \bar{a}b\bar{a} \cdot \bar{b}\bar{a}\bar{b}$$

# An algorithm for pseudo-square detection

## A linear algorithm

An algorithm for pseudo-square detection that is linear in the length of the boundary has been given by Brlek, Provençal and Fédou.

It uses in a clever way a preprocessing phase that allows to compute in constant time the longest common extension of two words.

## Notation

$\rho^i(x)$  is the conjugate of  $x$  starting at position  $i$  ( $\rho^0(x) = x$ ).

## Example

For  $x = aabbbbaab$ , one has  $\rho^4(x) = baabaabb$ .



## Definition (Longest common right and left extension)

The longest common right (left) extension of  $x$  at position  $i$  and  $y$  at position  $j$  is the word  $lcre(x, i, y, j) = \rho^i(x) \wedge \rho^j(y)$  (resp.  $lcle(x, i, y, j) = \rho^i(x) \vee \rho^j(y)$ ). Here  $u \wedge v$  (resp.  $u \vee v$ ) is the longest common prefix (suffix) of  $u$  and  $v$ .

## Example

For  $x = aabb \cdot baab$  and  $y = babaabb \cdot baabb$ , one has

$$lcre(x, 4, y, 7) = baabaabb \wedge baabbbabaabb = baab$$

and

$$lcle(x, 4, y, 7) = baabaabb \vee baabbbabaabb = abaabb$$

## Definition (Longest common extension)

The **longest common extension** of  $x$  at position  $i$  and  $y$  at position  $j$  is the word

$$lcle(x, i, y, j)lcre(x, i, y, j).$$

## Example

For  $x = aabb \cdot baab$  and  $y = babaabb \cdot baabb$ , one has

$$lcle(x, 4, y, 7) = abaabbbaab$$

# BN-factorization

## Algorithm

Let  $w$  be a boundary of length  $n$ . For each  $j = 0, \dots, n-1$

- Compute  $x = \text{lce}(w, 0, \bar{w}, j)$ .
- Locate  $\bar{x}$  in  $w$  and, if  $x$  and  $\bar{x}$  do not overlap, factorize  $w$  into  $w = xy\bar{x}z$ .
- check whether  $y = \bar{z}$  by checking whether  $\text{lcre}(w, k, \bar{w}, 0) = y$ , with  $k = |x|$ .

If the answer is positive, a pseudo-square factorization has been found.

## Example

$$w = aa\bar{b}aabaab\bar{a}\bar{a}b\bar{a}\bar{a}b\bar{a}\bar{a}\bar{b} = aa\bar{b}aabaab\bar{a}\bar{a}b\bar{a}\bar{a}b\bar{a}\bar{a}\bar{b} = aa\bar{b}aabaab\bar{a}\bar{a}b\bar{a}\bar{a}b\bar{a}\bar{a}\bar{b}$$

$$\bar{w} = baaba\bar{a}b\bar{a}a\bar{b}\bar{a}\bar{a}b\bar{a}\bar{a}b\bar{a}\bar{a} = baaba\bar{a}b\bar{a}a\bar{b}\bar{a}\bar{a}b\bar{a}\bar{a}b\bar{a}\bar{a} = baaba\bar{a}b\bar{a}a\bar{b}\bar{a}\bar{a}b\bar{a}\bar{a}b\bar{a}\bar{a}$$

$$\text{lce}(w, 0, \bar{w}, 1) = aa \text{ and } w = aa\bar{b}aabaab\bar{a}\bar{a}b\bar{a}\bar{a}b\bar{a}\bar{a}\bar{b} \text{ bad.}$$

$$\text{lce}(w, 0, \bar{w}, 4) = aa\bar{b}aa \text{ and } w = aa\bar{b}aabaab\bar{a}\bar{a}b\bar{a}\bar{a}b\bar{a}\bar{a}\bar{b} \text{ good!}$$

$$\text{lce}(w, 0, \bar{w}, 7) = \bar{b}aa\bar{b} \text{ and } w = aa\bar{b}aabaab\bar{a}\bar{a}b\bar{a}\bar{a}b\bar{a}\bar{a}\bar{b} \text{ good!}$$

## Remark

Since the computation of the  $\text{lce}$  is in constant time, the algorithm is linear.