

# Uniform Generation of Braids





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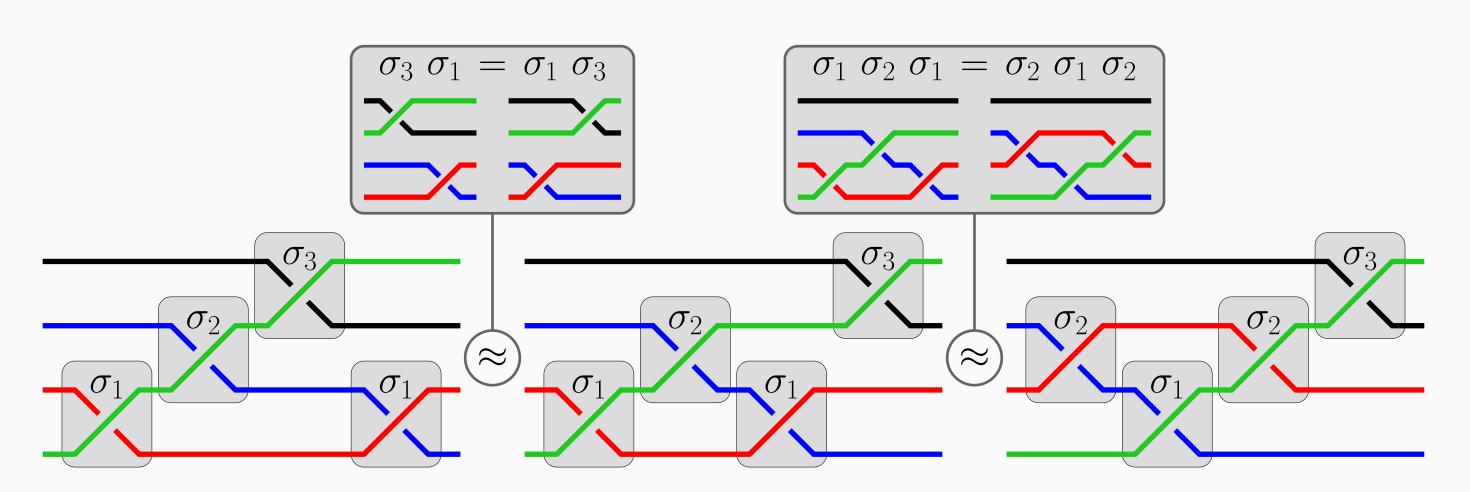


## Monoid of positive braids

**Positive braids** with *n* strands are elements of the monoid

$$B_n^+ = \langle \sigma_1, \dots, \sigma_{n-1} \mid \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1} \text{ and } \sigma_i \sigma_j = \sigma_j \sigma_i \text{ if } |i-j| \ge 2 \rangle^+.$$

Braids represent **isotopy** classes of **braid diagrams**.



Isotopic braid diagrams associated with the braid  $\sigma_1 \sigma_2 \sigma_1 \sigma_3$ 

## Uniform sampling of *n*-strand braids of length *k*

#### Simple 3-step algorithm:

- 1. Enumerate the elements of the set  $B_n^k := \{n\text{-strand braids of length } k\};$
- 2. Draw some integer  $i \in \{1, 2, \dots, \#B_n^k\}$  uniformly at random;
- 3. Pick the  $i^{\text{th}}$  element of your enumeration of  $B_n^k$ .

⚠ Step 1 is **computationally difficult**!

Example  $(n = 4 \text{ and } 0 \le k \le 2)$ :

$$B_4^0 = \{\mathbf{1}\}, \ B_4^1 = \{\sigma_1, \sigma_2, \sigma_3\} \text{ and } B_4^2 = \{\sigma_1^2, \sigma_1\sigma_2, \sigma_1\sigma_3, \sigma_2\sigma_1, \sigma_2^2, \sigma_2\sigma_3, \sigma_3\sigma_2, \sigma_3^2\}.$$

There exists an **efficient variant** [5] that works in time  $\mathcal{O}(k^2n^4)$ .

## Garside normal form

**Theorem & Definitions** [2]: The monoid  $B_n^+$ , endowed with the **division** ordering  $\leq$ (i.e.  $\alpha \leq \alpha\beta$  for all  $\alpha, \beta \in B_n^+$ ), is a **lattice**. Furthermore, we call

- Garside element of  $B_n^+$  the braid  $\Delta := \sigma_1 \vee \sigma_2 \vee \ldots \vee \sigma_{n-1}$ .
- Garside normal form of a positive braid  $\alpha \in B_n^+$  the smallest word  $a_1 \cdot a_2 \cdot \ldots \cdot a_k$ such that  $a_1 a_2 \dots a_k = \alpha$  and  $a_i = \Delta \wedge (a_i a_{i+1} \dots a_k)$  for all  $i \in \{1, \dots, k\}$ .

Consequence: Uniform sampling of braids in  $B_n^k$  can be performed in time  $\mathcal{O}(n!+n^22^{2n}k)$ by constructing **inductively** the sets

 $B_n^{k,\alpha} := \{n\text{-strand braids }\beta \text{ of length } k \text{ and such that } \alpha = \Delta \wedge \beta \}$ 

for all divisors  $\alpha$  of  $\Delta$ , via the recursion formulæ:

$$B_n^{k,\alpha} = \bigsqcup_{\beta: \beta \leq \Delta \text{ and } \\ \alpha = \Delta \wedge (\alpha\beta)} B_n^{k-length(\alpha),\beta}.$$

## Inconsistent samplings

Given oracles for drawing elements of  $B_n^k$ , can we draw elements of  $B_n^{k+1}$ ?

With the above algorithms, we need to start again **from scratch!** Is there a better way?

Naive (and incorrect) idea:

To draw an element of  $B_n^{k+1}$ , begin by drawing some prefix of length k, i.e.

- 1. Draw some element  $\alpha \in B_n^k$ ;
- 2. For all  $\beta \in B_n^{k+1}$  such that  $\alpha \leq \beta$ , compute an **extension probability**  $p_{\alpha,\beta}$ ;
- 3. Draw  $\beta$  with probability  $p_{\alpha,\beta}$ .

**Issue:** This approach fails for n=4 and k=1: drawing  $\beta \in \{\sigma_2\sigma_1, \sigma_2^2, \sigma_2\sigma_3\}$  (with probability 3/8) amounts to drawing  $\alpha = \sigma_2$  (with probability 1/3) in the first place!

Let us find other ways to generate large random braids...

## A new approach on consistent samplings

**Idea:** Let us smoothen measures on the "spheres"  $B_n^k$  by taking averages!

- 1. Choose p such that the sum  $Z_n(p) := \sum_{k>0} \#B_n^k p^k$  converges;
- 2. Choose  $\mu_p: \alpha \mapsto \frac{1}{Z_n(p)} p^{\operatorname{length}(\alpha)}$ .

### Key results:

- 1. We have  $\mu_p(\alpha B_n^+) = p^{length(\alpha)}$  for all  $\alpha \in B_n^+$ , where  $\alpha B_n^+ := \{\alpha \beta : \beta \in B_n^+\}$ ;
- 2. Möbius inversion formulæ allow computing  $\mu_p(\mathbf{A}^{\mathbf{Gar}})$  for all finite words  $\mathbf{A}$ , where  $\mathbf{A}^{\mathbf{Gar}} := \{\beta : \text{ the Garside normal form of } \beta \text{ begins with the prefix } \mathbf{A} \}.$

#### Möbius inversion formulæ

For all  $\alpha \in B_n^+$ , we have

- 1.  $\alpha B_n^+ = \coprod_{\beta:\alpha \leq \beta \leq \Delta^{\|\alpha\|}} \mathbf{GNF}(\beta)^{\mathbf{Gar}};$
- 2.  $\mu_p\left(\mathbf{GNF}(\alpha)^{\mathbf{Gar}}\right) = \sum_{I \subseteq \{1,...,n-1\}} \mathbf{1}_{\|\alpha\| = \|\alpha\Delta_I\|} (-1)^{\#I} \mu_p(\alpha\Delta_I B_n^+)$ , where
- $\mathbf{GNF}(\alpha)$  is Garside normal form of  $\alpha$ ,  $\|\alpha\|$  is the length of  $\mathbf{GNF}(\alpha)$ , and  $\Delta_I := \bigvee_{i \in I} \sigma_i$ .

Example  $(n = 4 \text{ and } \mathbf{A} = \sigma_1 \cdot \sigma_1)$ :

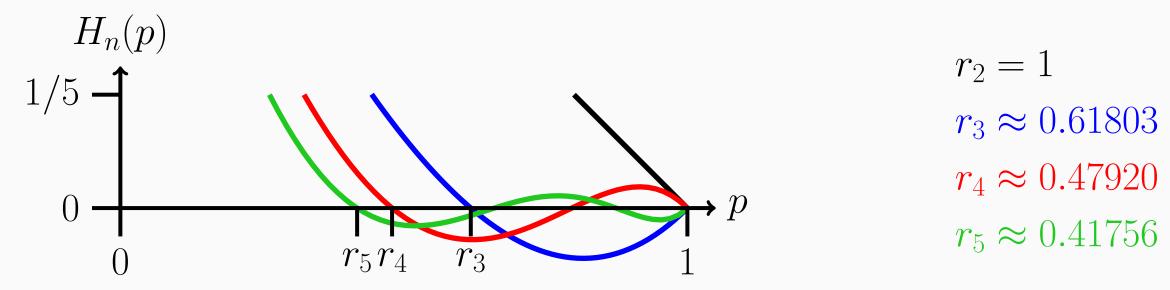
$$\mu_p\left(\mathbf{A^{Gar}}\right) = \mu_p(\sigma_1^2 B_4^+) - \mu_p\left(\sigma_1^2 \sigma_2 B_4^+\right) - \mu_p\left(\sigma_1^2 \sigma_3 B_4^+\right) + \mu_p\left(\sigma_1^2 \sigma_2 \sigma_3 \sigma_2 B_4^+\right) = p^2 - 2p^3 + p^5.$$

## Möbius polynomial

**Theorem** [3, 4]: Let  $H_n(X)$  be the **Möbius polynomial** of the monoid  $B_n^+$ , i.e.

$$H_n(X) = \sum_{I \subseteq \{1, \dots, n-1\}} (-1)^{\#I} X^{length(\Delta_I)}.$$

The power series  $Z_n(X)$  and  $H_n(X)$  are inverses of each other, i.e.  $Z_n(X)H_n(X) = 1$ .



Möbius polynomials  $H_2(p)$ ,  $H_3(p)$ ,  $H_4(p)$  and  $H_5(p)$  and their smallest positive roots

**Theorem:** Using Möbius inversion formulæ and Möbius polynomials, we derive a

### Markov realisation of $\mu_p$

There exists an explicit Markov chain  $(\Theta_k^p)_{k>1}$  over the set  $\{\beta:\beta \leq \Delta\}$  such that, for all finite words  $\mathbf{A} = a_1 \cdot a_2 \cdot \ldots \cdot a_k$ ,

$$\mu_p\left(\mathbf{A^{Gar}}\right) = \mathbb{P}[\Theta_1^p = a_1 \wedge \Theta_2^p = a_2 \wedge \ldots \wedge \Theta_k^p = a_k].$$

# Critical behaviour: What happens when $p \rightarrow r_n$ ?

### Adding infinite braids:

- 1. Endow  $B_n^+$  with a **topology** generated by sets  $\alpha B_n^+$  and consider its **completion**  $B_n^+$ ;
- 2. Extend Garside normal forms to **infinite** braids (i.e. elements of  $\partial B_n^+ := \overline{B_n^+} \setminus B_n^+$ ).

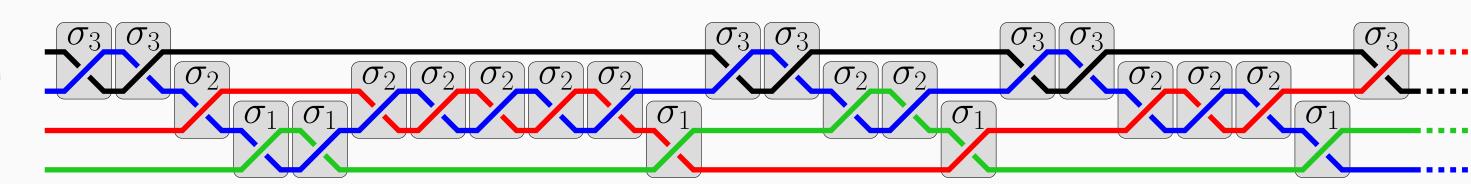
### Increasing p:

- 1. Both  $\mu_p$  and  $(\Theta_k^p)_{k>1}$  have **limits**  $\mu_\infty$  and  $(\Theta_k^\infty)_{k>1}$  when  $p \to r_n$ ;
- 2.  $(\Theta_k^{\infty})_{k\geq 1}$  is still a **Markov realisation** of  $\mu_{\infty}$ .

### Theorems:

- 1. The support of  $\mu_{\infty}$  is  $\partial B_n^+$ ;
- 2. Uniform probability measures on  $B_n^k$  converge weakly towards  $\mu_{\infty}$  when  $k \to +\infty$ .

Hence, we say that  $\mu_{\infty}$  is a uniform probability measure on infinite braids!



An infinite braid chosen uniformly at random

This work was inspired by the similar case of **trace monoids** [1].

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