

Drawing heaps uniformly at random

Samy Abbes¹, Sébastien Gouëzel^{2,3}, Vincent Jugé^{2,4} & **Jean Mairesse**^{2,5}

1: Paris 7 (IRIF) — 2: CNRS — 3: Nantes (LMJL) — 4: ENS Cachan (LSV) — 5: Paris 6 (LIP6)

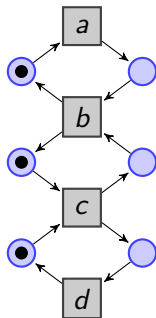
25/05/2016

Contents

- 1 Introduction
- 2 Trace monoids and heaps
- 3 First convergence results
- 4 Bernoulli distributions
- 5 Going beyond. . .

Petri nets and dependency graphs

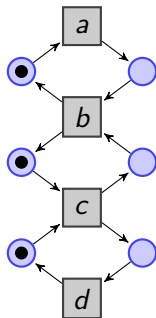
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- Set of transitions: $\Sigma = \{a, b, c, d\}$

Petri nets and dependency graphs

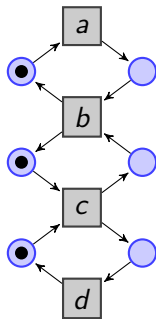
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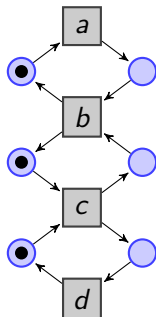
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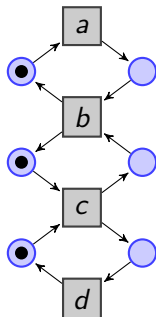
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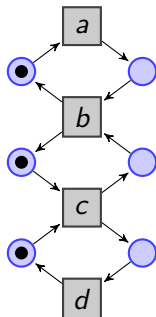
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Petri nets and dependency graphs

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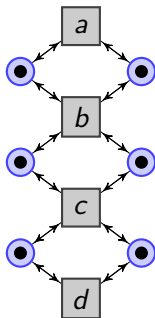
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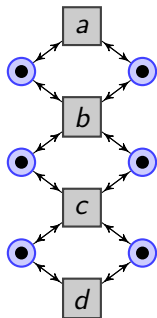
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Petri nets and dependency graphs

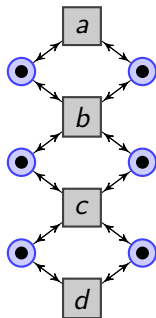
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Petri nets and dependency graphs

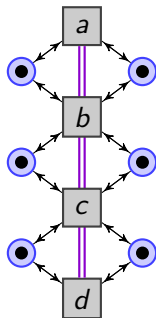
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Petri nets and dependency graphs

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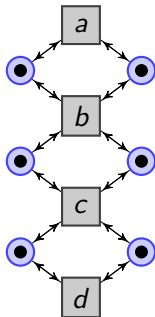
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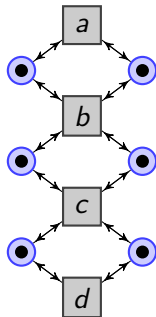
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Can you pick one of its infinite **concurrent** exectutions
uniformly at random?

Petri nets and dependency graphs

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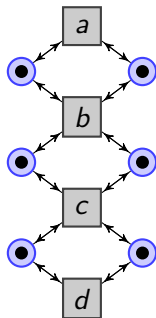


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- 1 Define your preferred notion of trace length

Petri nets and dependency graphs

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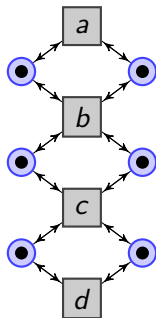


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- 2 Study uniform distributions on traces **of length k**

Petri nets and dependency graphs

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Can you pick one of its infinite **concurrent** exectutions
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- 1 Define your preferred notion of trace length
- 2 Study uniform distributions on traces **of length k**
- 3 Look for suitable **convergence properties** when $k \rightarrow +\infty$

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Heaps of pieces and trace monoids

Heap of pieces

- Pieces:



Trace monoid

- Alphabet:

$$\Sigma = \{a, b, c, d\}$$

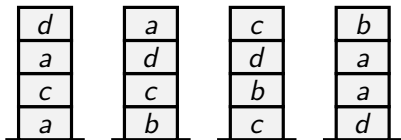
Heaps of pieces and trace monoids

Heap of pieces

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- Purely vertical heaps:



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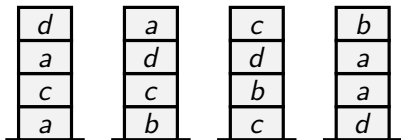
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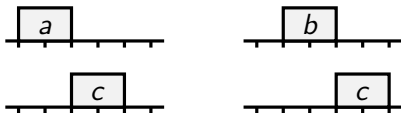
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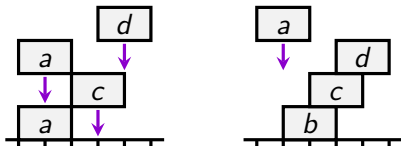
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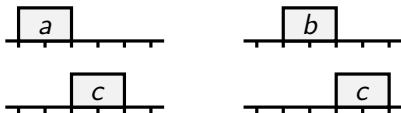
- Pieces:



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Dependency graph

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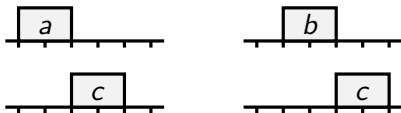
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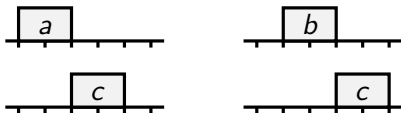
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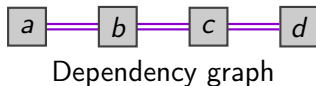
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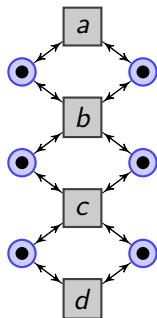
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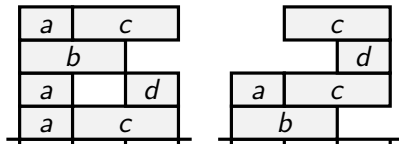
Heaps of pieces viewed from their places

Petri net



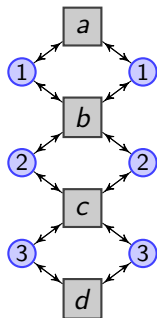
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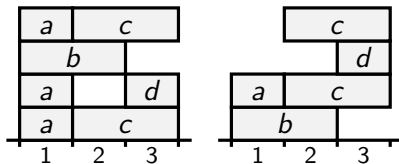
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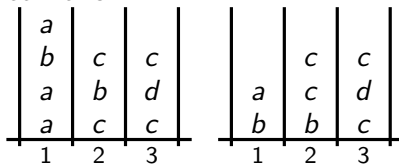


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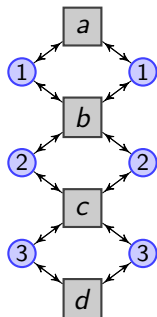


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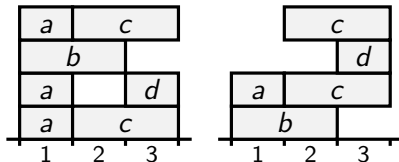
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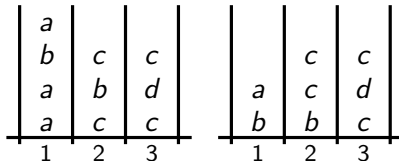


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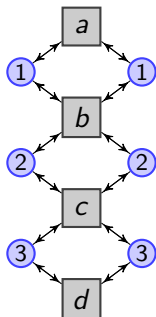
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Heap of pieces $\Leftrightarrow$ **Consistent** place views

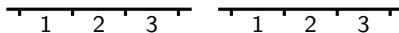
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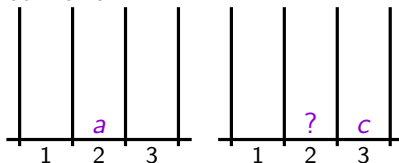


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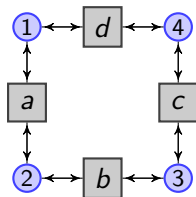
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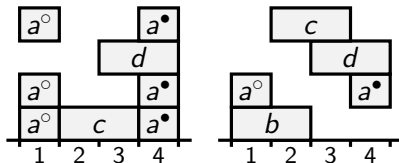
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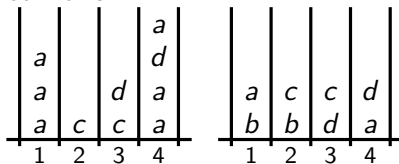


Heap of pieces

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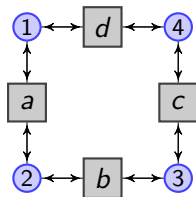
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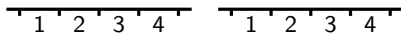
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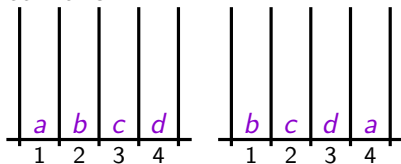


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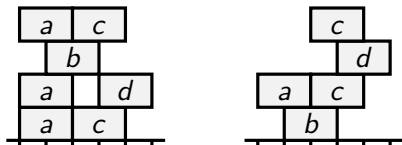


Heap of pieces $\Leftrightarrow$ **Consistent** place views

Heaps of pieces and Cartier-Foata normal forms

Heap of pieces

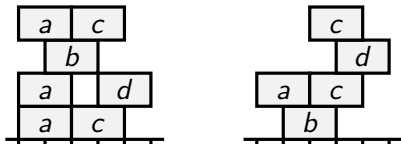
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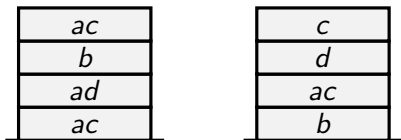
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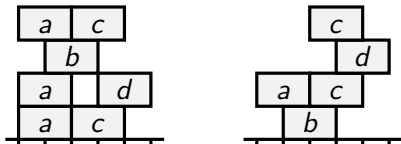
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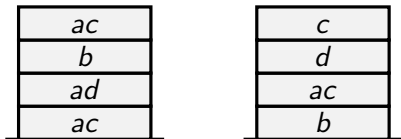
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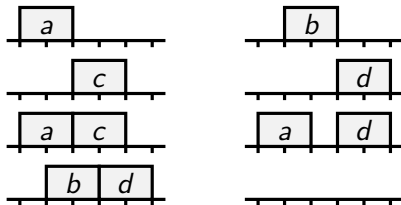


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Cliques ($\mathcal{C}$)

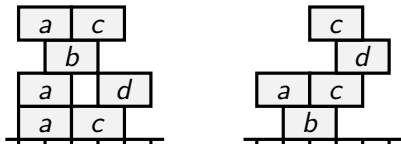
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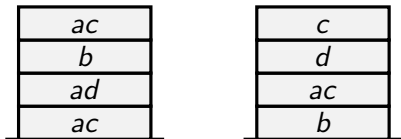
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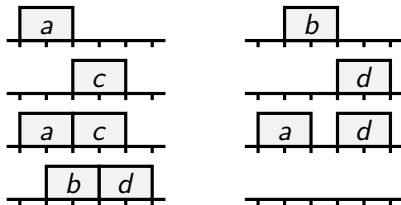


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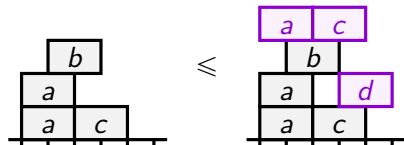
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- Local conditions** on consecutive cliques in heaps

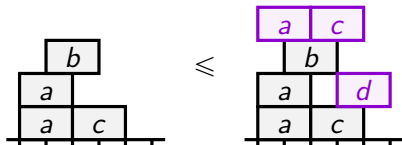
Heaps of pieces and left divisibility

Heap of pieces

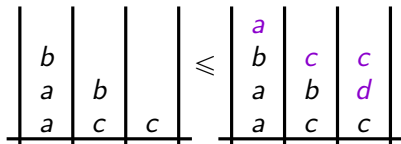


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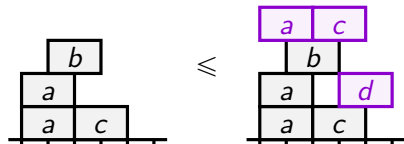


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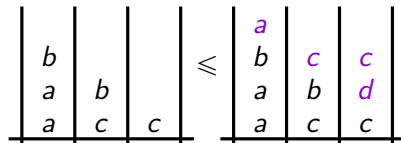


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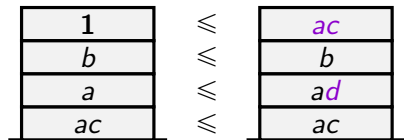
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Place views



Cartier-Foata

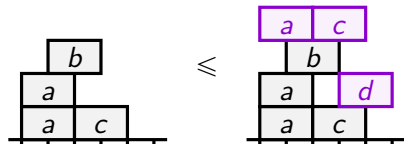


+ upper commutativity

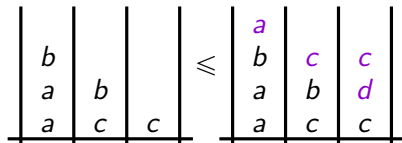
$(bd \in \mathcal{C})$

Heaps of pieces and left divisibility

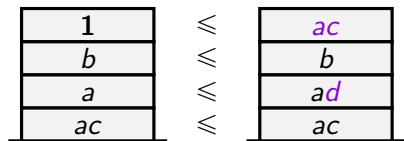
Heap of pieces



Place views



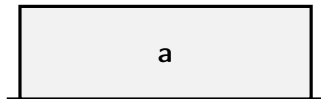
Cartier-Foata



+ upper commutativity
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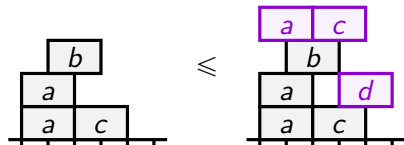
Combinatorial properties

- $\mathbf{a} \wedge \mathbf{b}$ (and $\mathbf{a} \vee \mathbf{b}$) exist
- $h(\mathbf{a}) \leq k \Leftrightarrow \mathbf{a} \in \mathcal{C}^k$
- maximality criterion:

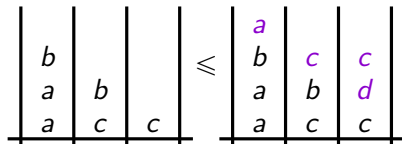


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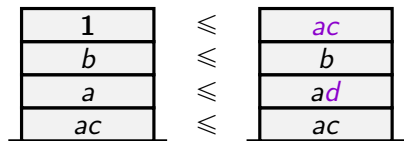
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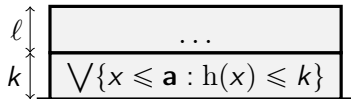
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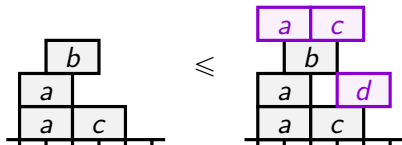
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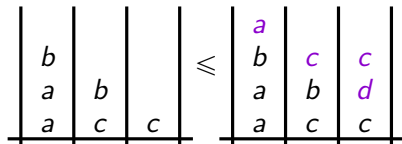


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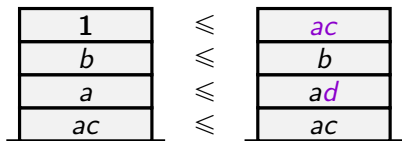
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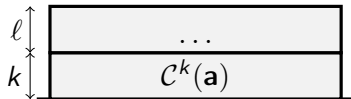
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Contents

- 1 Introduction
- 2 Trace monoids and heaps
- 3 First convergence results**
- 4 Bernoulli distributions
- 5 Going beyond. . .

Probabilistic and topological setting

Probabilistic setting

Two notions of length:

① # pieces: $|a|$

② # floors: $h(a)$

$\mathcal{M}_k = \{\text{heaps of size } k\}$
 $\approx \text{regular language} \subseteq \Sigma^*$

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$$\mu_k \longrightarrow \mu_\infty \Leftrightarrow \mathbb{P}_{\mu_k}[\mathbf{a} \leq x] \rightarrow \mathbb{P}_{\mu_\infty}[\mathbf{a} \leq x]$$

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Theorem (S. Abbes & J. Mairesse 2015)

The uniform distribution on $\mathcal{M}_k$ converges weakly in $\overline{\mathcal{M}^+}$ when $k \rightarrow +\infty$

Weak convergence: $\text{length}(\mathbf{a}) = |\mathbf{a}|$

Generating series and Möbius polynomial

$$\mathcal{G}(z) = \sum_{\alpha \in \mathcal{M}^+} z^{|\alpha|} = \sum_{k \geq 0} \lambda_k z^k \text{ and } \mathcal{H}(z) = \sum_{\gamma \in \mathcal{C}} (-z)^{|\gamma|}$$

Proposition (P. Cartier & D. Foata 1969)

$$\mathcal{G}(z)\mathcal{H}(z) = 1$$

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where $\theta = \gamma\alpha$, $\mathbf{L}(\theta) = \{x \in \Sigma : x \leq \theta\}$ and $\gamma = \bigvee S$

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$$\mathcal{G}(z)\mathcal{H}(z) = 1$$

Corollary (D. Krob, J. Mairesse & I. Michos 2001)

$\mathcal{H}(z)$ has a **smallest positive** root p such that:

- $(\mathcal{H}(z) = 0 \wedge |z| \leq p) \Leftrightarrow z = p$
- $0 < p \leq 1$

and there exists constants $\Lambda > 0$ and $\ell \in \mathbb{N}$ such that $\lambda_k \sim \Lambda p^{-k} k^\ell$

Weak convergence: $\text{length}(\mathbf{a}) = |\mathbf{a}|$

Proof of the theorem – $\text{length}(\mathbf{a}) = |\mathbf{a}|$

$$\textcircled{1} \mu_k : S \mapsto \frac{\#(S \cap \mathcal{M}_k)}{\lambda_k}$$

Weak convergence: $\text{length}(\mathbf{a}) = |\mathbf{a}|$

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- ① $\mu_k : S \mapsto \frac{\#(S \cap \mathcal{M}_k)}{\lambda_k}$
- ② $\mathbf{x} \mapsto \mathbf{a}\mathbf{x}$ maps $\mathcal{M}_k$ to $(\uparrow \mathbf{a}) \cap \mathcal{M}_{k+|\mathbf{a}|}$ bijectively

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- ⑦ Complete the proof as above

Caution: $\lim \mu_k(\uparrow \mathbf{a})$ does not depend only on $h(\mathbf{a})$!

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- 2 Trace monoids and heaps
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- 4 Bernoulli distributions**
- 5 Going beyond...

Bernoulli distributions

A distribution μ on $\mathcal{M}^+$ is ...

Bernoulli if

- $\mu(\uparrow \mathbf{ab}) = \mu(\uparrow \mathbf{a})\mu(\uparrow \mathbf{b})$

Bernoulli distributions

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- $\mu(\uparrow \mathbf{ab}) = \mu(\uparrow \mathbf{a})\mu(\uparrow \mathbf{b})$
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Uniform Bernoulli if

- $\mu(\uparrow \mathbf{a}) = \nu^{|\mathbf{a}|}$
- $\nu_1 = \nu_2 = \dots = \nu$

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- $\nu < p$
- $\mathcal{H}(z) > 0$ for all $z \in (0, p)$

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- $\nu < p$
- $\mathcal{H}(z) > 0$ for all $z \in (0, p)$
- $\mu(\partial\mathcal{M}^+) = 0$
- $\mu(\{\mathbf{a}\}) = \mathcal{H}(\nu)\nu^{|\mathbf{a}|}$

Bernoulli distributions

Proving that finite uniform $\Leftrightarrow \mu(\{\mathbf{x}\}) = \mathcal{H}(\nu)\nu^{|\mathbf{x}|}$

Bernoulli distributions

Proving that finite uniform $\Leftrightarrow \mu(\{\mathbf{x}\}) = \mathcal{H}(\nu)\nu^{|\mathbf{x}|}$

$$\Leftarrow \mu(\uparrow \mathbf{x}) = \mathcal{H}(\nu) \sum_{\gamma} \nu^{|\mathbf{x}\gamma|} = \nu^{|\mathbf{x}|} \mathcal{H}(\nu) \sum_{\gamma} \nu^{|\gamma|} = \nu^{|\mathbf{x}|} \mathcal{H}(\nu) \mathcal{G}(\nu)$$

Bernoulli distributions

Proving that finite uniform $\Leftrightarrow \mu(\{\mathbf{x}\}) = \mathcal{H}(\nu)\nu^{|\mathbf{x}|}$

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$\Rightarrow$ Proof #1: At most one measure works!

Bernoulli distributions

Proving that finite uniform $\Leftrightarrow \mu(\{\mathbf{x}\}) = \mathcal{H}(\nu)\nu^{|\mathbf{x}|}$

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$\Rightarrow$ Proof #1: At most one measure works!

$\Rightarrow$ Proof #2: Using inclusion-exclusion:

$$\mu(\{\mathbf{x}\}) =$$

Bernoulli distributions

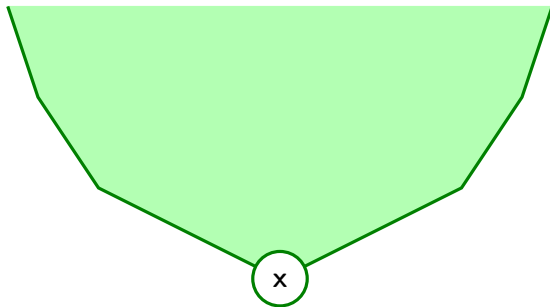
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Bernoulli distributions

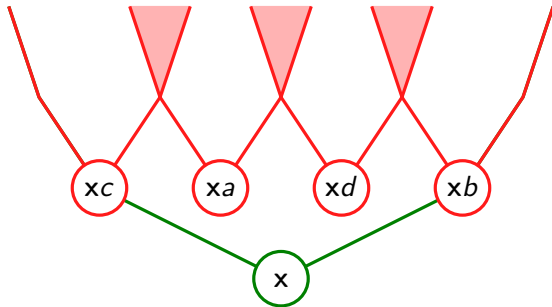
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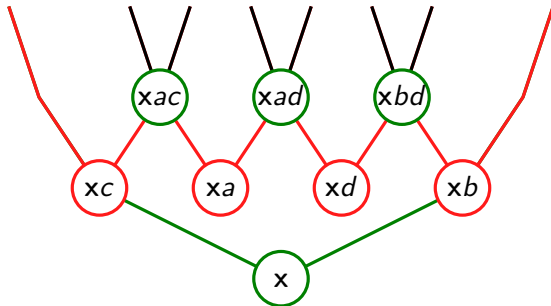
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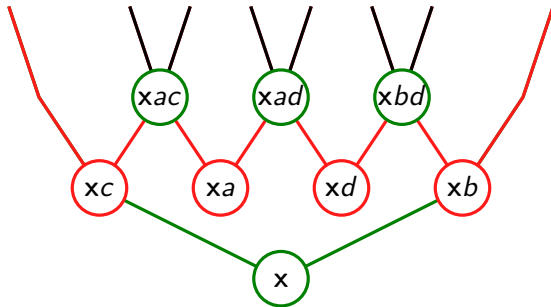
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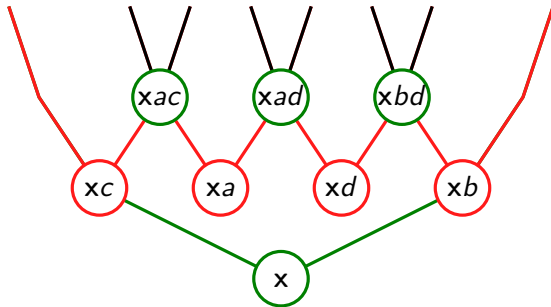
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Simulating finite, uniform Bernoulli distributions

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Approach #1: Pick the length first

- Pick a **target length** k with probability $\lambda_k \nu^k \mathcal{H}(\nu)$
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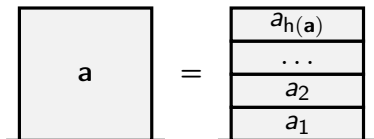
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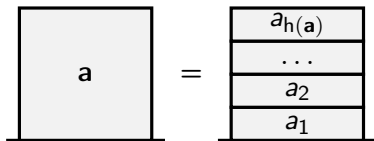
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$$a \rightarrow b \Leftrightarrow \begin{array}{|c|} \hline b \\ \hline a \\ \hline \end{array} \Leftrightarrow a = \mathcal{C}^1(ab)$$

Infinite uniform Bernoulli distributions as Markov chains

Critical parameter: $\nu = p$

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- M^ν and M^p are **stochastic Perron matrices** if $\mathcal{M}^+$ is irreducible

Contents

- 1 Introduction
- 2 Trace monoids and heaps
- 3 First convergence results
- 4 Bernoulli distributions
- 5 Going beyond...

From uniform to non-uniform Bernoulli measures

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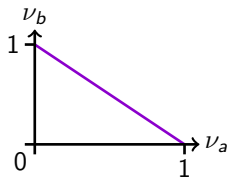
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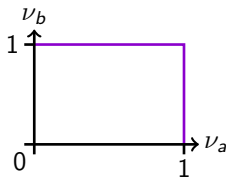
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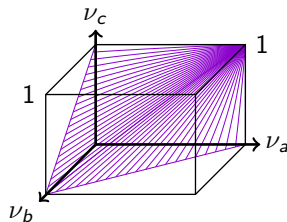
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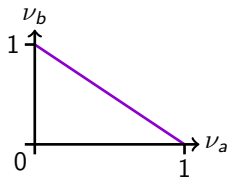


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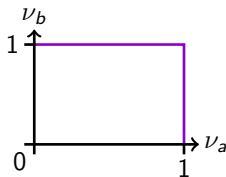
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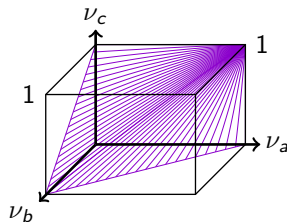
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- μ_k : ν -uniform distribution on $\{\mathbf{x} \in \mathcal{M}^+ \mid |\mathbf{x}| = k\}$
- $\|\mathbf{x}\|_a$: # occurrences of a in the Cartier-Foata word of $\mathbf{x}$
- A_k : law of $\|\mathbf{x}\|_a$ when $\mathbf{x}$ is distributed according to μ_k

From weak convergence to central limit theorems

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Central limit Theorem (S. A., S. G., V. J. & J. M. 2016⁺)

There exists constants ρ and $\sigma^2 > 0$ such that

$$\textcircled{1} \quad \frac{A_k}{k} \xrightarrow{\mathcal{L}} \rho$$

$$\textcircled{2} \quad \sqrt{k} \left(\frac{A_k}{k} - \rho \right) \xrightarrow{\mathcal{L}} \mathcal{N}(0, \sigma^2) \text{ if } \mathcal{M}^+ \text{ is irreducible}$$

From trace monoids to Artin–Tits and left-Garside monoids



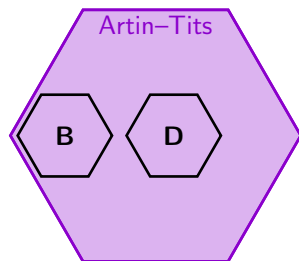
- **Dimer** monoid: $\langle \sigma_i \mid i \neq j \pm 1 \Rightarrow \sigma_i \sigma_j = \sigma_j \sigma_i \rangle^+$

From trace monoids to Artin–Tits and left-Garside monoids



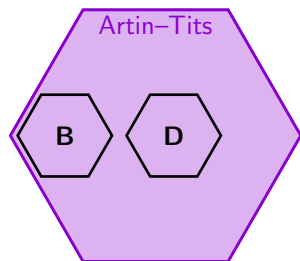
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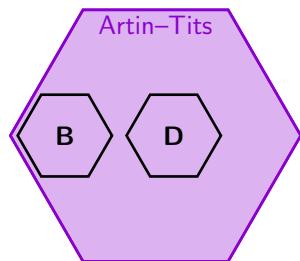
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 $\ell(i,j) = 2 \Rightarrow \sigma_i \sigma_j = \sigma_j \sigma_i$

From trace monoids to Artin–Tits and left-Garside monoids



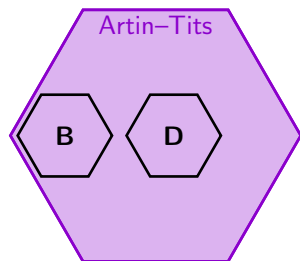
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 $\ell(i, j) = 3 \Rightarrow \sigma_i \sigma_j \sigma_i = \sigma_j \sigma_i \sigma_j$

From trace monoids to Artin–Tits and left-Garside monoids



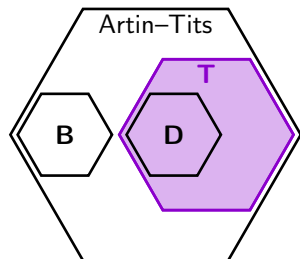
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From trace monoids to Artin–Tits and left-Garside monoids



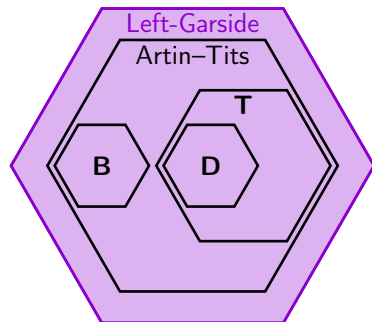
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- **Artin–Tits** monoid: $\langle \sigma_i \mid [\sigma_i \sigma_j]^{\ell(i,j)} = [\sigma_j \sigma_i]^{\ell(i,j)} \rangle^+$
 $\ell(i,j) = +\infty \Rightarrow$ no relation!

From trace monoids to Artin–Tits and left-Garside monoids



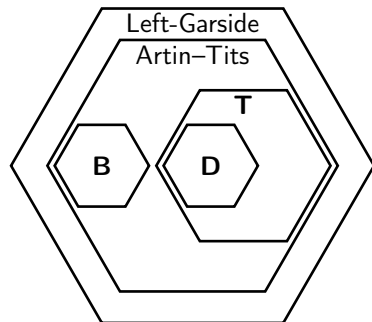
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- **Trace** monoid: Artin–Tits with $\ell(i, j) \in \{2, +\infty\}$

From trace monoids to Artin–Tits and left-Garside monoids



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From trace monoids to Artin–Tits and left-Garside monoids



Theorem (— 2016⁺)

- 1 Weak conv. in **all** A–T monoids
- 2 CLT 1 in **all** A–T monoids
- 3 CLT 2 in **irreducible** A–T monoids

+some extensions to
left-Garside monoids

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And then?

Some directions of research

- Generalisation to **all** left-Garside monoids
- Generalisation to trace **groups**
- Sampling elements in **regular languages** $L \cap \mathcal{M}_k$ instead of $\mathcal{M}_k$
- Identifying nice Markov chains when $\text{length}(\mathbf{a}) = h(\mathbf{ba})$
- Your favorite one (tell me now!)