

# Fighting fish and two-stack sortable permutations

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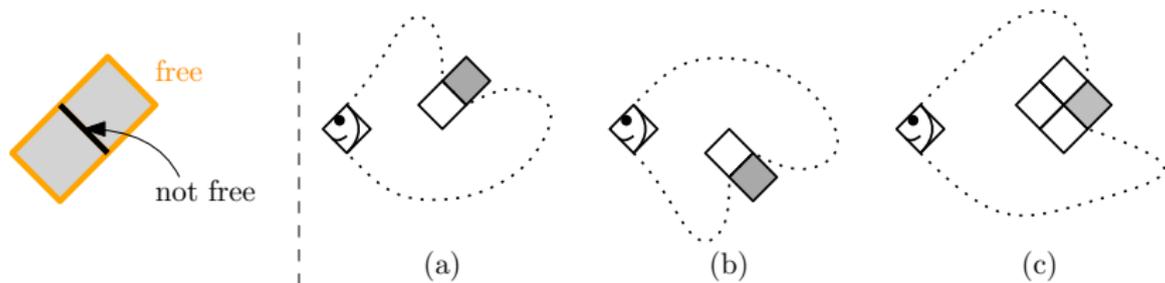
8 May 2018, University of Vienna



# Fighting fish

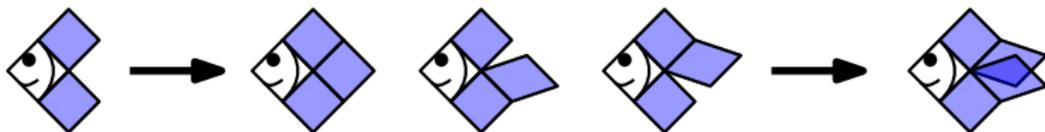
A **fighting fish** = gluing of unit cells, generalizing directed polyominoes

- either a single cell (the **head**);
- or obtained from gluing a cell to a fighting fish as follows.

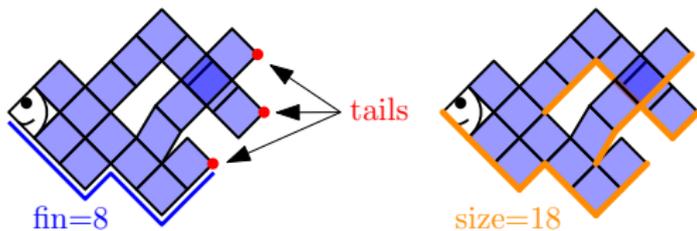


Gluing only to (upper or lower) right free edges!

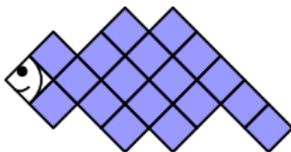
Order of gluing does **not** matter, and it is **not** a 2D object!



# Anatomy of fighting fish



- Area = # cells
- Fin = length of path via lower free edges to first tail
- Size = # lower free edges

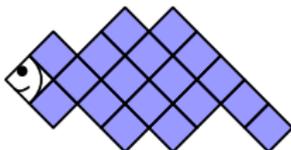


Fighting fish with one tail = parallelogram polyominoes

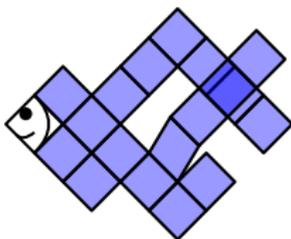
Size = Semi-perimeter

# Why fighting fish?

Parallelogram polyominoes of size  $n \Rightarrow$  average area  $\Theta(n^{3/2})$



Duchi, Guerrini, Rinaldi and Schaeffer 2016:  
Fighting fish of size  $n \Rightarrow$  average area  $\Theta(n^{5/4})$



A new and interesting model of branching surfaces!

# Enumeration of fighting fish

Fighting fish with one tail (parallelogram polynomials) of size  $n + 1$ :

$$\text{Cat}_n = \frac{1}{2n + 1} \binom{2n + 1}{n}.$$

Duchi, Guerrini, Rinaldi and Schaeffer 2016:

Fighting fish of size  $n + 1$ :

$$\frac{2}{(n + 1)(2n + 1)} \binom{3n}{n}.$$

The same formula applies to

- non-separable planar maps;
- two-stack sortable permutations;
- left ternary trees;
- generalized Tamari intervals;
- etc...

# Enumeration of fighting fish, refined

Duchi, Guerrini, Rinaldi and Schaeffer 2017:

Fighting fish of size  $n + 1$ , with  $i$  lower-left free edges and  $j$  lower-right free edges ( $i + j = n + 1$ ):

$$\frac{1}{(2i + j - 1)(2j + i - 1)} \binom{2i + j - 1}{i} \binom{2j + i - 1}{j}.$$

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Also the number of **non-separable planar maps** with  $n$  edges,  $i + 1$  vertices and  $j + 1$  faces (*cf.* Brown and Tutte 1964);

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Also the number of **two-stack sortable permutations** of length  $n$ , with  $i$  ascents and  $j$  descents (*cf.* Goulden and West 1996);

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Also the number of **two-stack sortable permutations** of length  $n$ , with  $i$  ascents and  $j$  descents (*cf.* Goulden and West 1996);

Also the number of **left ternary trees** with  $i$  even vertices and  $j$  odd vertices (*cf.* Del Lungo, Del Ristoro and Penaud 1999) ...

# A conjecture for a bijection

## Conjecture (Duchi, Guerrini, Rinaldi and Schaeffer 2016)

The number of *fighting fish* with

- $n$  as size,
- $k$  as fin length,
- $\ell$  tails,
- $i$  left-lower free edge, and
- $j$  right-lower free edge

is equal to the number of *left ternary trees* with

- $n$  nodes,
- $k$  as core size,
- $\ell$  right branches,
- $i + 1$  non-root nodes with even abscissa, and
- $j$  nodes with odd abscissa.

So refined, we may as well ask for a bijection!

# Our result

## Theorem (F. 2018+)

There is a bijection between *fighting fish* with

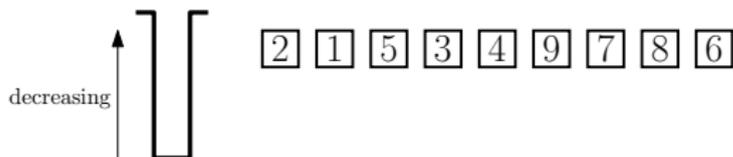
- $n$  as size,
- $k$  as fin length,
- $\ell$  tails,
- $i$  left-lower free edge, and
- $j$  right-lower free edge

and *two-stack sortable permutations* with

- $n - 1$  elements,
- $k - 1$  left-to-right maxima in the permutation sorted once,
- $\ell - 1$  left descents in the permutation sorted once,
- $i - 1$  ascents, and
- $j - 1$  descents.

Not exactly the conjecture, but in its spirit.

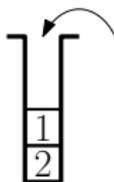
# Sorting a permutation with a stack



# Sorting a permutation with a stack



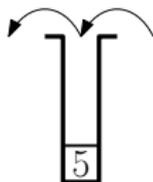
# Sorting a permutation with a stack



5 3 4 9 7 8 6

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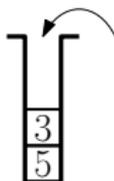
1 2



3 4 9 7 8 6

# Sorting a permutation with a stack

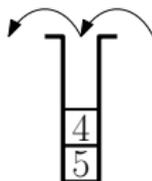
1 2



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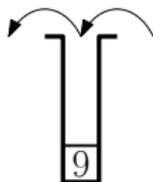
1 2 3



9 7 8 6

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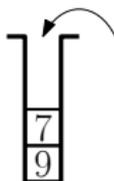
1 2 3 4 5



7 8 6

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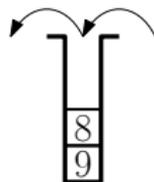
1 2 3 4 5



8 6

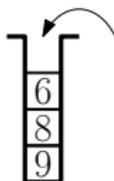
# Sorting a permutation with a stack

1 2 3 4 5 7

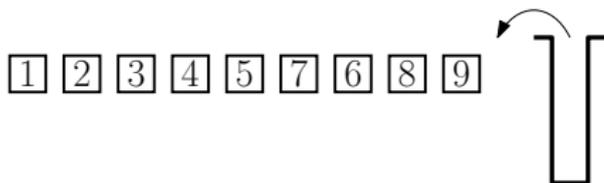


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# Sorting a permutation with a stack

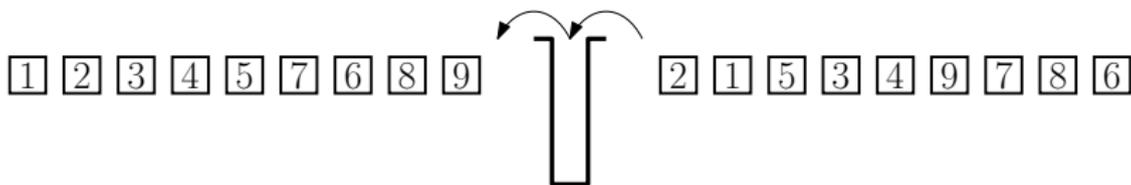


# Sorting a permutation with a stack



# Stack-sortable permutations

A permutation is **stack-sortable** if it is sorted in one pass.



Examples: 21534 is stack-sortable, but 215349786 is **not**

## Theorem (Knuth 1968)

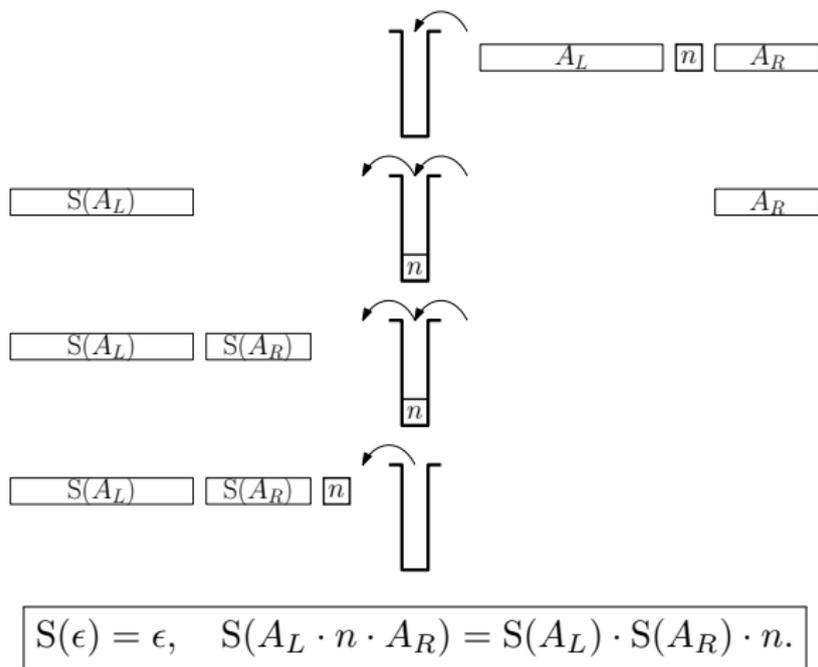
*A permutation is stack-sortable iff it contains no pattern 231.*

*The number of stack-sortable permutations of length  $n$  is the  $n$ -th Catalan number  $\text{Cat}_n = \frac{1}{2n+1} \binom{2n+1}{n}$ .*

What about two passes?

# Sorting operator

S: operator of stack sorting (valid for general sequences)



# Two-stack sortable permutations

A permutation  $\pi \in \mathfrak{S}_n$  is

- a stack-sortable permutation if  $S(\pi) = 12 \dots n$
- a **two-stack sortable permutation** (or **2SSP**) if  $S(S(\pi)) = 12 \dots n$ .

Theorem (West 1991, Zeilberger 1992)

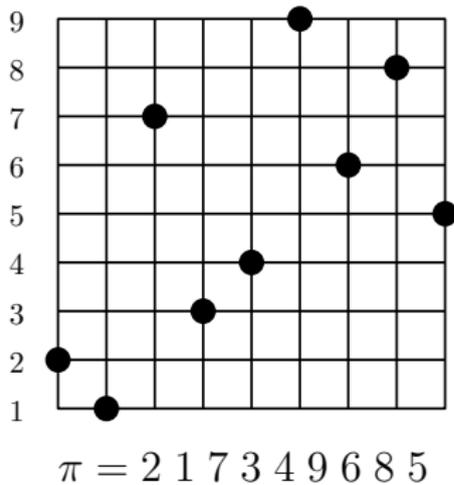
*The number of 2SSPs of length  $n$  is*

$$\frac{2}{(n+1)(3n+1)} \binom{3n+1}{n}.$$

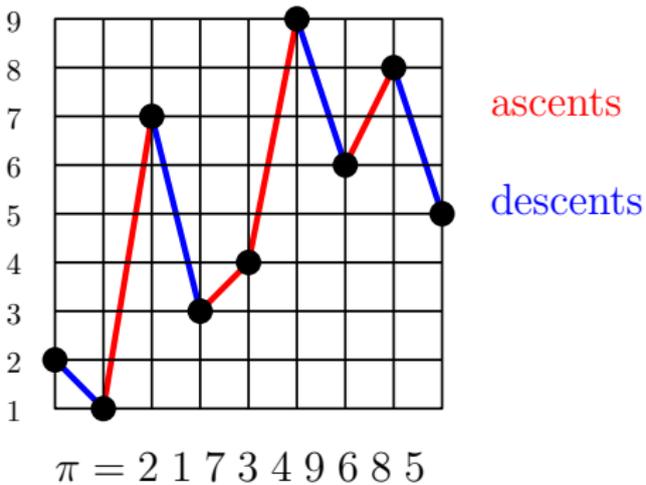
Also characterization with forbidden pattern.

We will now look at a new recursive decomposition.

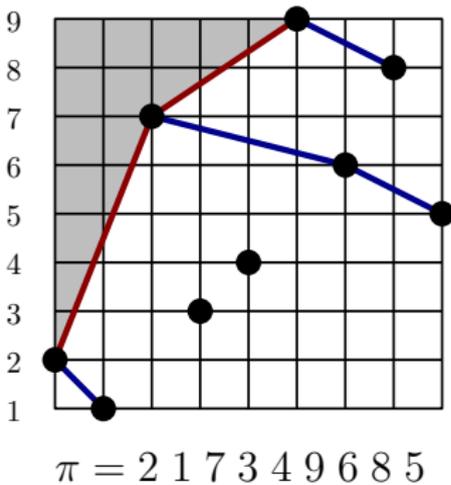
# Permutation on a grid



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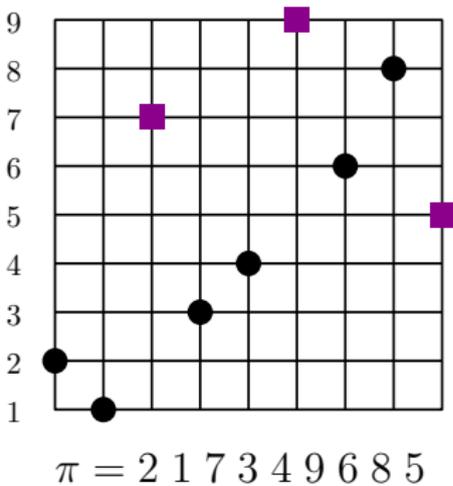
# Permutation on a grid



left-to-right maxima

left descents

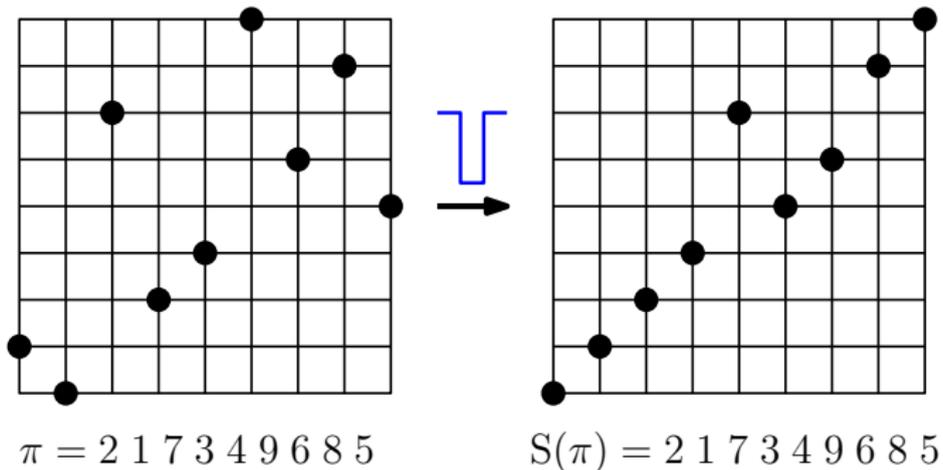
# Permutation on a grid



pattern 231

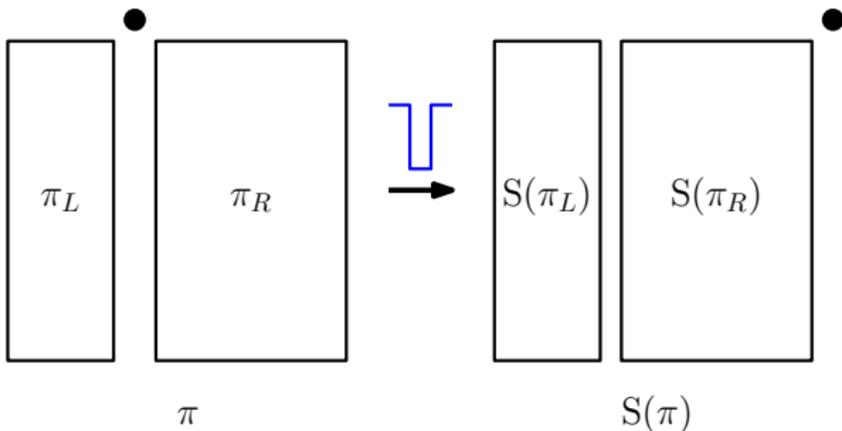


# A characterization



$\pi$  is two-stack sortable  $\Leftrightarrow S(\pi)$  avoids pattern 231

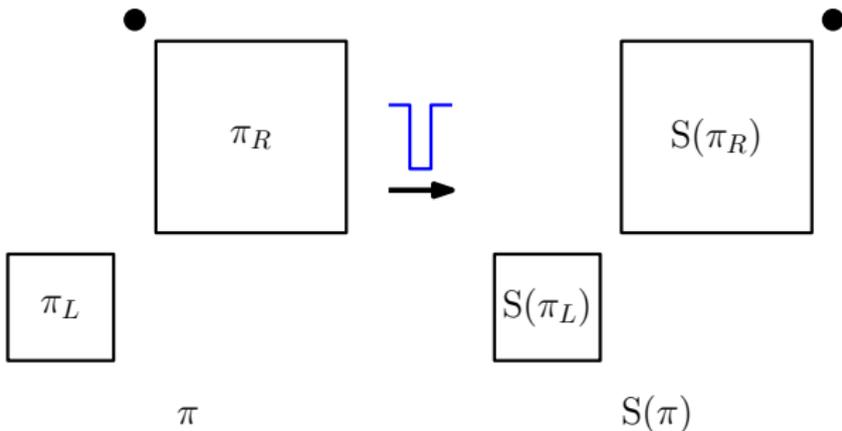
## Decomposing...



We recall that  $S(\pi_L \cdot n \cdot \pi_R) = S(\pi_L) \cdot S(\pi_R) \cdot n$ .

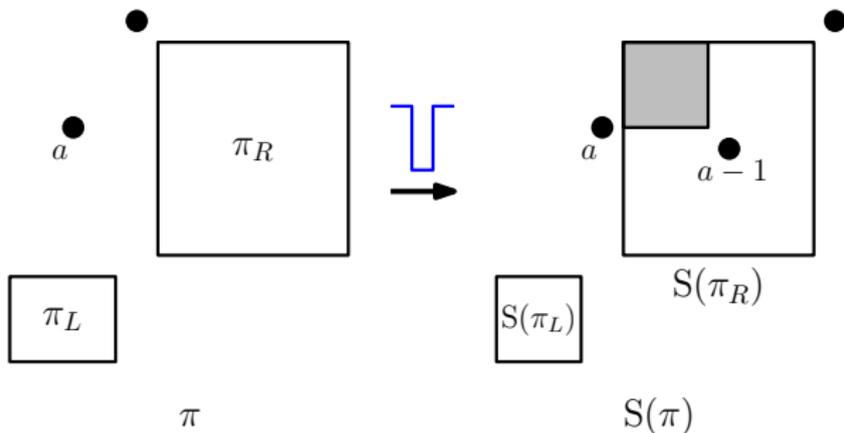
When compactified, both  $\pi_L$  and  $\pi_R$  are two-stack sortable.

## Case 1



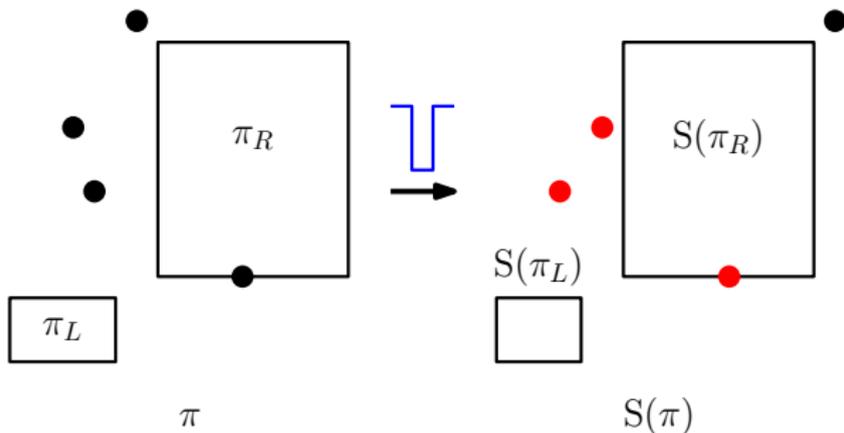
When every element of  $\pi_L$  are smaller than the min of  $\pi_R$ , it is easy.  
Just put them side by side.  $\pi_L$  and  $\pi_R$  can be empty.

## Case 2



When only one element  $a$  of  $\pi_L$  is larger than the min of  $\pi_R$ , then  $a-1$  is a left-to-right maximal in  $S(\pi_R)$ .  $\pi_L$  and  $\pi_R$  **cannot be empty**.

## Case 3 ... ?



It is **impossible** to have two elements of  $\pi_L$  larger than the min of  $\pi_R$ , if we want to avoid 231 in  $S(\pi)$ .

# Recursive construction

$\text{smax}(\pi) = \#$  left-to-right  
maxima in  $S(\pi)$

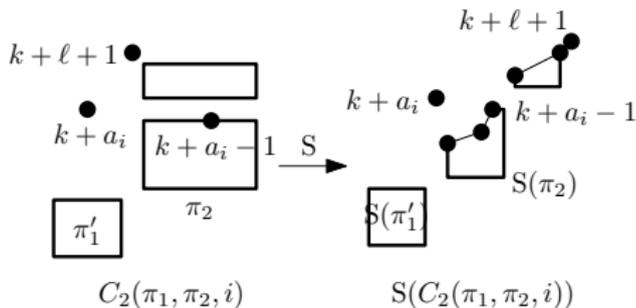
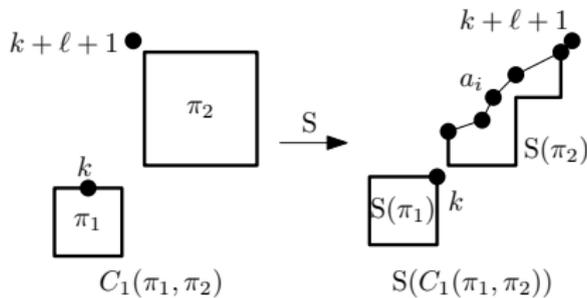
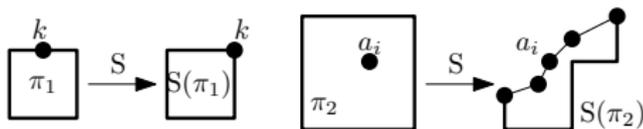
For  $\pi_1, \pi_2$  2SSPs, we get

- $C_1(\pi_1, \pi_2)$
- $C_2(\pi_1, \pi_2, i)$  for  
 $1 \leq i \leq \text{smax}(\pi_2)$

Here,

$\text{smax}(C_1(\pi_1, \pi_2)) =$   
 $\text{smax}(\pi_1) + \text{smax}(\pi_2) + 1,$

$\text{smax}(C_2(\pi_1, \pi_2, i)) =$   
 $\text{smax}(\pi_1) + \text{smax}(\pi_2) -$   
 $i + 1.$



# Various statistics

## Proposition

*Given two 2SSPs  $\pi_1, \pi_2$ , for any  $i$  with  $1 \leq i \leq \text{slmax}(\pi_2)$ , we have*

$$\text{asc}(C_1(\pi_1, \pi_2)) = \text{asc}(C_2(\pi_1, \pi_2, i)) = \text{asc}(\pi_1) + 1 + \text{asc}(\pi_2),$$

$$\text{des}(C_1(\pi_1, \pi_2)) = \text{des}(C_2(\pi_1, \pi_2, i)) = \text{des}(\pi_1) + 1 + \text{des}(\pi_2),$$

$$\text{len}(C_1(\pi_1, \pi_2)) = \text{len}(C_2(\pi_1, \pi_2, i)) = \text{len}(\pi_1) + 1 + \text{len}(\pi_2),$$

$$\text{sldes}(C_1(\pi_1, \pi_2)) = \text{sldes}(\pi_1) + \text{sldes}(\pi_2),$$

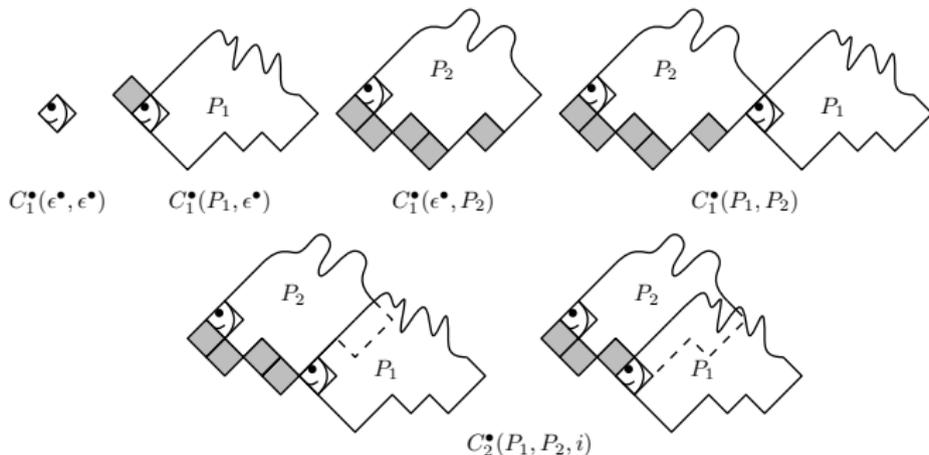
$$\text{sldes}(C_2(\pi_1, \pi_2, i)) = \text{sldes}(\pi_1) + \text{sldes}(\pi_2) + 1.$$

*When one of  $\pi_1, \pi_2$  is empty, the formulas for  $C_1(\pi_1, \pi_2)$  still hold, except that  $\text{asc}(C_1(\epsilon, \pi_2)) = \text{asc}(\pi_2)$  and  $\text{des}(C_1(\pi_1, \epsilon)) = \text{des}(\pi_1)$ .*

# Wasp-waist decomposition of fighting fish

Duchi, Guerrini, Rinaldi and Schaeffer 2017:

Idea: delete cells on lower left one by one, until it breaks ( $\text{fin}(\epsilon^\bullet) = 1$ )



$$\text{fin}(C_1^\bullet(P_1, P_2)) = \text{fin}(P_1) + \text{fin}(P_2)$$

$$\text{fin}(C_2^\bullet(P_1, P_2, i)) = \text{fin}(P_1) + \text{fin}(P_2) - i \quad (1 \leq i \leq \text{fin}(P_2) - 1)$$

Isomorphic decompositions with 2SSPs!

# Statistics also agree!

**Convention:**  $\text{lsize}(\epsilon^\bullet) = \text{rsize}(\epsilon^\bullet) = \text{size}(\epsilon^\bullet) = \text{tails}(\epsilon^\bullet) = 1$

**Proposition (Duchi, Guerrini, Rinaldi and Schaeffer 2017)**

*Given two fighting fish  $P_1, P_2$ , for  $i$  with  $1 \leq i \leq \text{fin}(P_2) - 1$ , we have*

$$\begin{aligned} \text{lsize}(C_1^\bullet(P_1, P_2)) &= \text{lsize}(C_2^\bullet(P_1, P_2, i)) = \text{lsize}(P_1) + \text{lsize}(P_2) \\ \text{rsize}(C_1^\bullet(P_1, P_2)) &= \text{rsize}(C_2^\bullet(P_1, P_2, i)) = \text{rsize}(P_1) + \text{rsize}(P_2) \\ \text{size}(C_1^\bullet(P_1, P_2)) &= \text{size}(C_2^\bullet(P_1, P_2, i)) = \text{size}(P_1) + \text{size}(P_2) \\ \text{tails}(C_1^\bullet(P_1, P_2)) &= \text{tails}(P_1) - 1 + \text{tails}(P_2) \\ \text{tails}(C_2^\bullet(P_1, P_2, i)) &= \text{tails}(P_1) + \text{tails}(P_2) \end{aligned}$$

*The formulas for  $C_1^\bullet(P_1, P_2)$  hold for  $P_1$  or  $P_2$  being  $\epsilon^\bullet$ , except that  $\text{lsize}(C_1^\bullet(\epsilon^\bullet, P_2)) = \text{lsize}(P_2)$ , and  $\text{rsize}(C_1^\bullet(P_1, \epsilon^\bullet)) = \text{rsize}(P_1)$ .*

# Bijection

Isomorphic recursive decompositions of fighting fish and two-stack sortable permutations, with many agreeing statistics

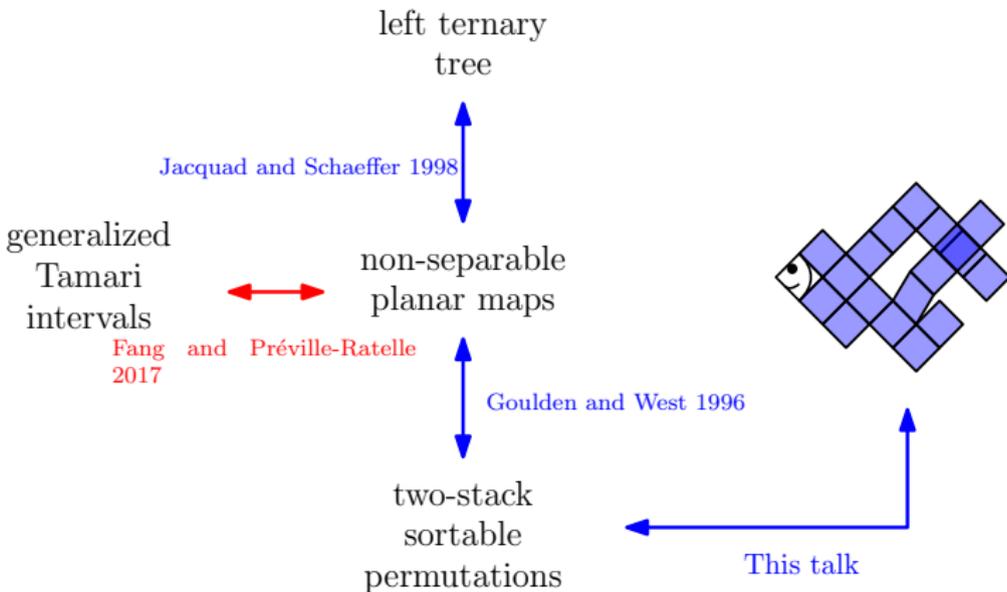
⇒

Recursive bijection preserving the statistics

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Also possible to write functional equations, and we prove that the generating function with all these statistics is algebraic.

# Direct bijection?



Any direct bijection?

# Open problems

- Symmetries?
- Some statistic corresponding to area?
- How about sorting three (four, five, ...) times through a stack?

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- Some statistic corresponding to area?
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Thank you for your attention!