

# Paths, trees and permutations: some enumerative aspects of Tamari lattices

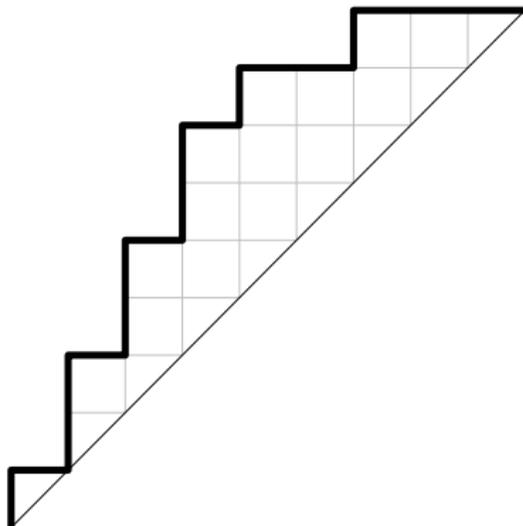
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Workshop PAGCAP, Weissensee, Austria  
16 May 2023

# Section 1

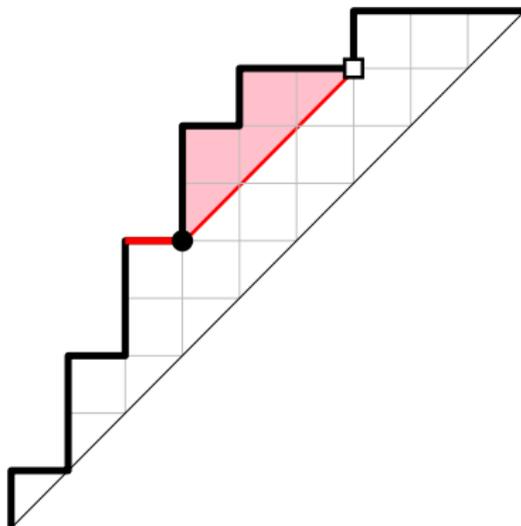
Paths, for introduction

# Dyck paths and Tamari lattice, ...



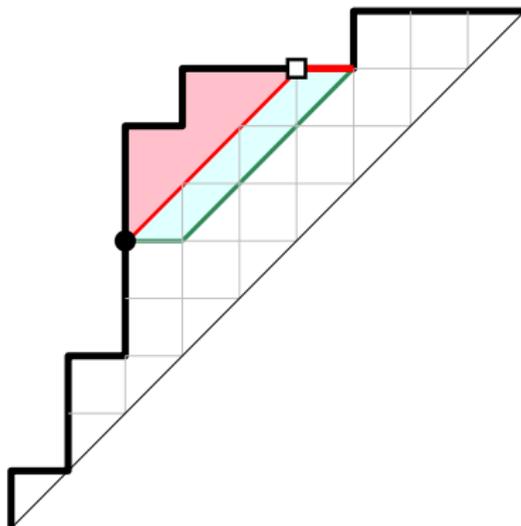
**Dyck path:**  $n$  north( $N$ ) and  $n$  east( $E$ ) steps above the diagonal  
 Counted by Catalan numbers  $\text{Cat}(n) = \frac{1}{2n+1} \binom{2n+1}{n}$

# Dyck paths and Tamari lattice, ...



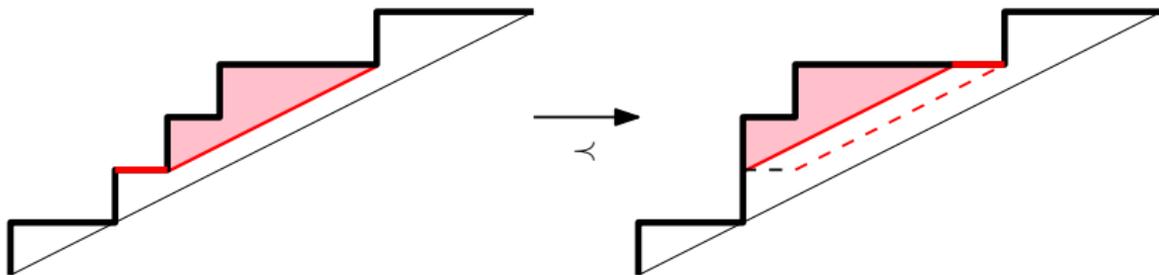
Covering relation: take a valley ●, find the next point □ with the same distance to the diagonal ...

# Dyck paths and Tamari lattice, ...



... and push the segment to the left. This gives the **Tamari lattice**  $TAM(n)$  (Tamari 1962).

# ..., $m$ -Tamari lattice, ...



**$m$ -ballot** paths:  $n$  north steps,  $mn$  east steps, above the " $m$ -diagonal".

Counted by Fuss-Catalan numbers  $\text{Cat}_m(n) = \frac{1}{mn+1} \binom{mn+1}{n}$ .

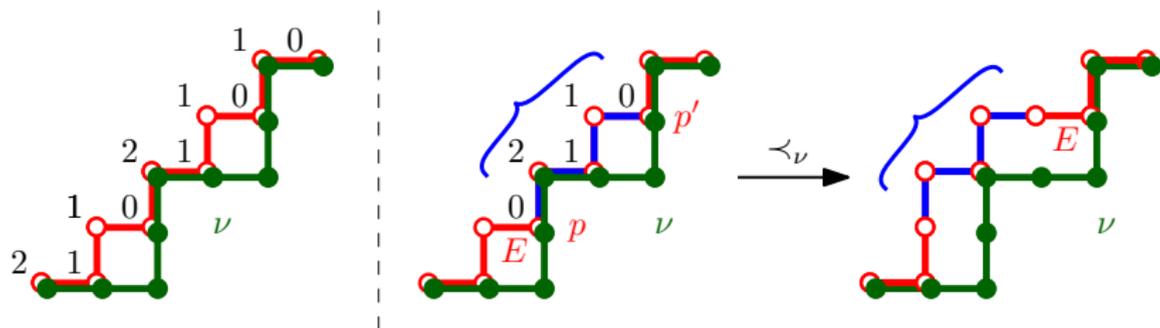
A similar covering relation gives the  **$m$ -Tamari lattice** (Bergeron 2010).

Further: **rational Tamari lattice** (Armstrong–Rhoades–Williams 2013)

# ... and beyond.

But we can use an arbitrary path  $\nu$  as "diagonal"!

Horizontal distance =  $\#$  steps one can go without crossing  $\nu$



**Generalized Tamari lattice** or  $\nu$ -**Tamari lattice** (Préville-Ratelle and Viennot 2014):  $\text{TAM}(\nu)$  over arbitrary  $\nu$  (called the **canopy**).

# Partitioning the Tamari lattice by type

## Theorem (Préville-Ratelle–Viennot 2014)

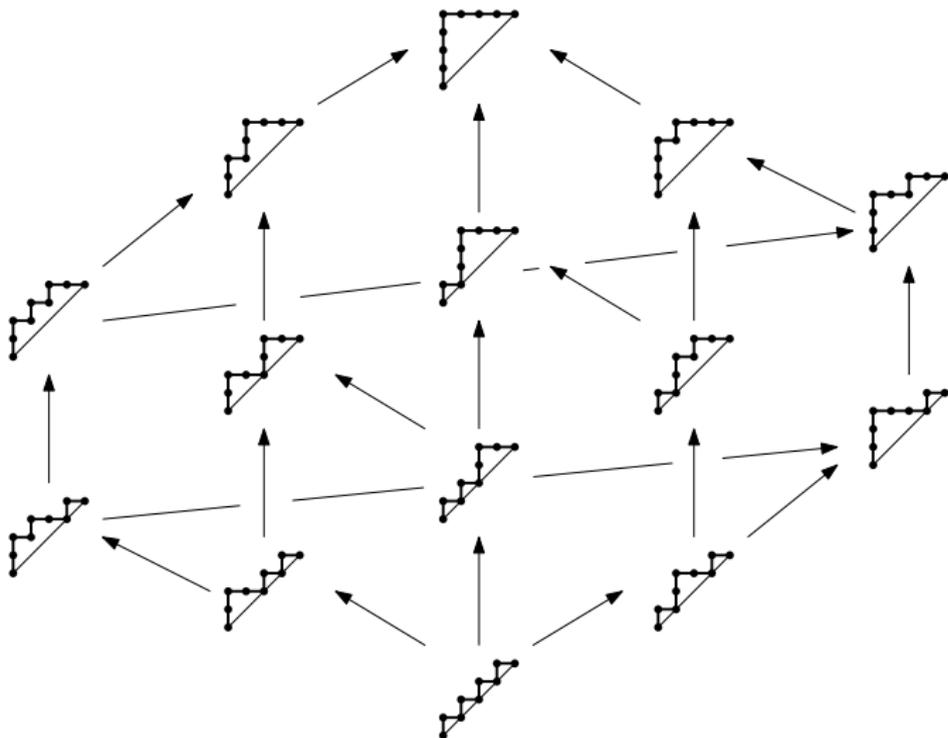
*The Tamari lattice of order  $n$  is partitioned into  $2^{n-1}$  intervals, each isomorphic to some  $\text{TAM}(\nu)$  with  $\nu$  of length  $n - 1$ .*

Delest and Viennot (1984): There is a bijection between Dyck path of length  $2n$  and an element in  $\text{TAM}(\nu)$  for some  $\nu$  of length  $n - 1$ .

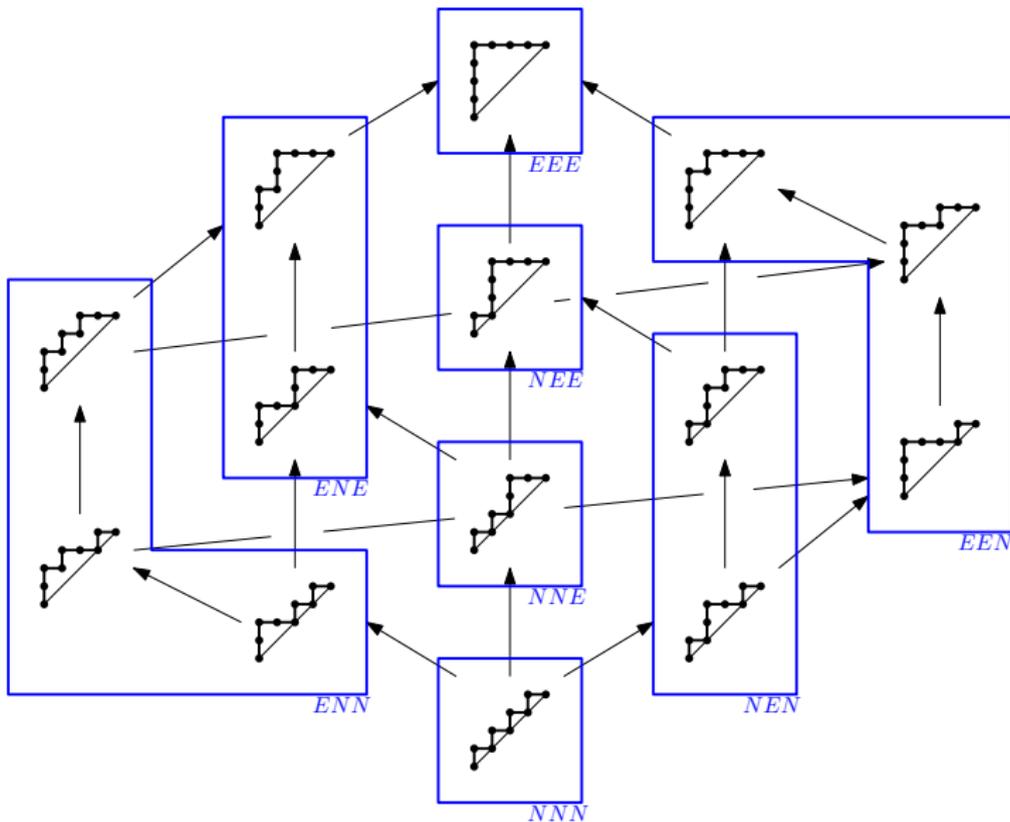
## Theorem (Préville-Ratelle–Viennot 2014)

*$\text{TAM}(\nu)$  is isomorphic to the dual of  $\text{TAM}(\overleftarrow{\nu})$ , where  $\overleftarrow{\nu}$  is  $\nu$  reversed with exchange  $N \leftrightarrow E$  (flipping the lattice path  $\nu$ ).*

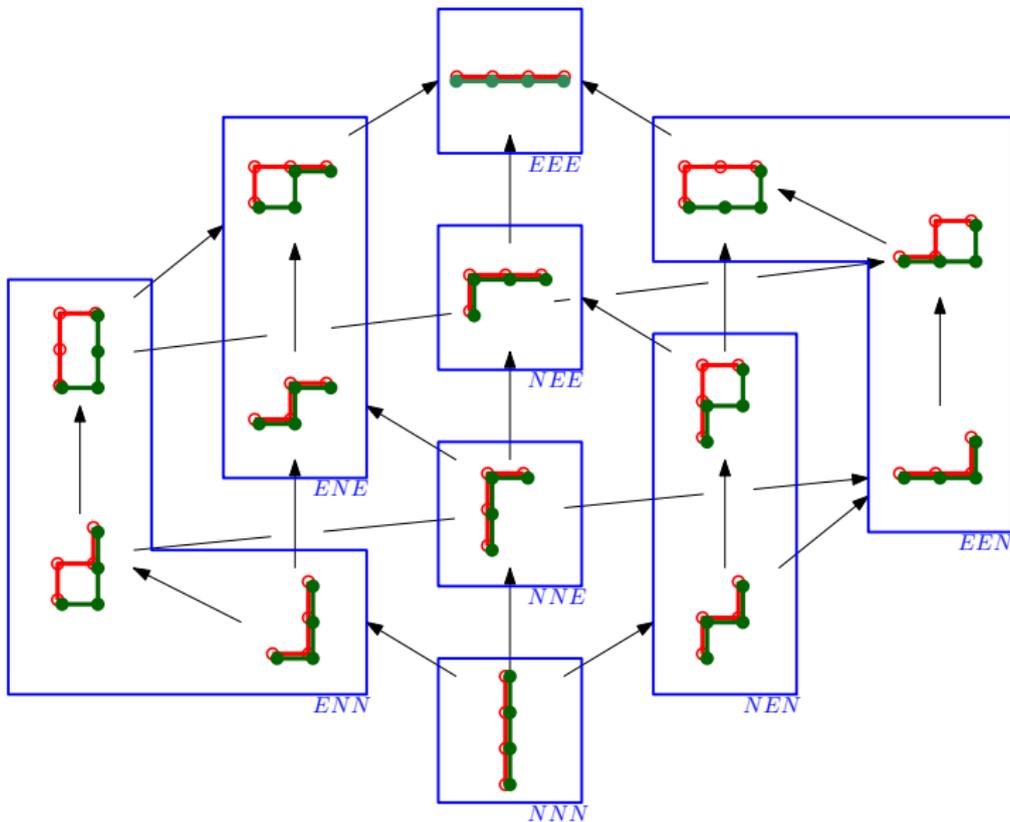
# Partitioning the Tamari lattice by type



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# The next level: intervals

**Interval:**  $[a, b]$  with comparable  $a \leq b$

**Motivation:** conjecturally related to trivariate **diagonal coinvariant spaces**, also with **operads...** and **nice numbers!**

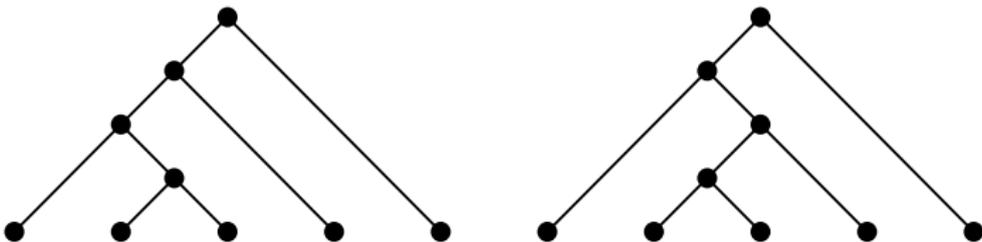
- **Counting with functional equations:** Bostan, Bousquet-Mélou, Chapoton, Chapuy, Chyzak, Fusy, Pilaud, Préville-Ratelle, ...
- **Interval poset:** Chapoton, Châtel, Combes, Pons, Rognerud, ...
- **Planar maps:** Bernardi, Bonichon, Duchi, F., Fusy, Henriot, Humbert, Nadeau, Préville-Ratelle, ...
- **$\lambda$ -terms and proofs:** F., N. Zeilberger, ...

## Section 2

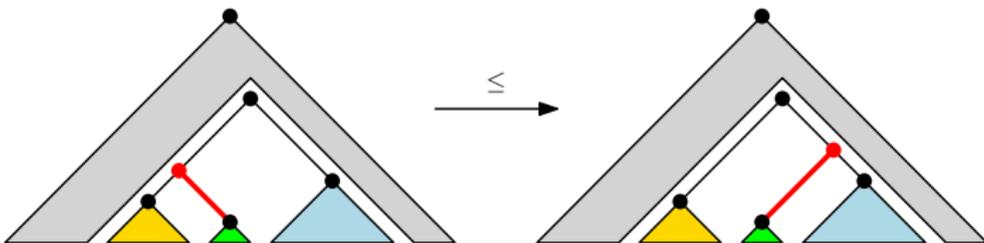
### Trees, for bijections

# Binary trees

Binary trees :  $n$  binary internal nodes and  $n + 1$  leaves

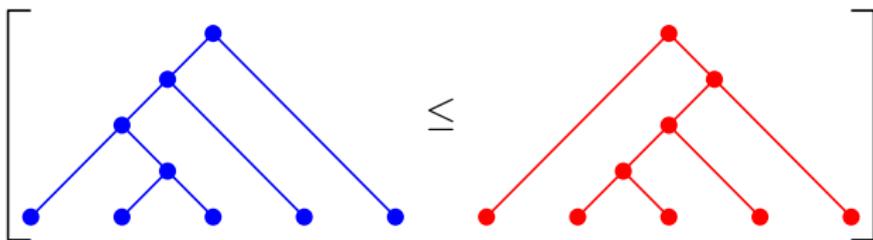


Rotation (from left to right) :



# Tamari intervals, with binary trees

An interval  $[S, T]$  of binary trees



Bracket vector: size of right sub-tree at each internal node in infix order

$$(1, 0, 0, 0) \leq (3, 0, 0, 0)$$

Also distance of each up step to its matching down step in Dyck paths (Huang–Tamari, 1972). Componentwise order  $\Rightarrow$  Tamari lattice.

Dual bracket vector: size of left sub-tree at each internal node

$$(0, 0, 2, 3) \leq (0, 0, 1, 2)$$

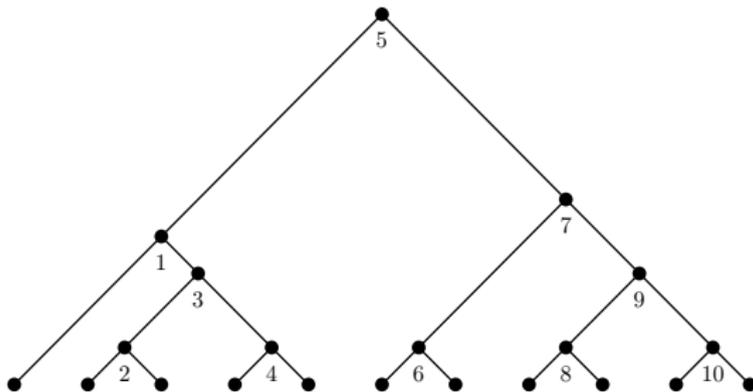
Reversed componentwise order by duality!

# Bracket vector, a notion of matching

**Bracket vector:**  $(b_1, \dots, b_n)$ , with  $b_i$  the size of **right sub-tree** of node  $i$

Thus, node  $i$  **covers**  $i + 1, i + 2, \dots, i + b_i$ .

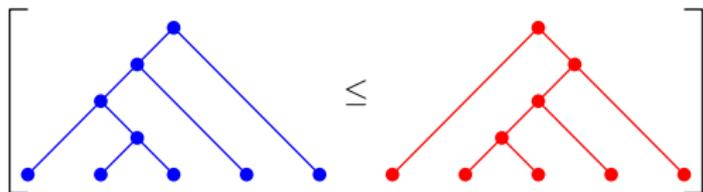
Encoding in **parentheses:** the “opening” of  $i$  is “closed” by  $i + b_i$ .



$(3, 0, 1, 0, 5, 0, 3, 0, 1, 0)$

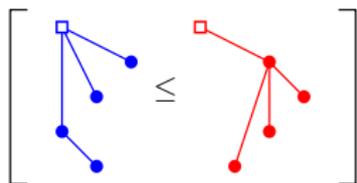
For **dual bracket vector**  $(d_1, \dots, d_n)$ , node  $i$  **covers**  $i - 1, \dots, i - d_i$ .

# Tamari intervals, with plane trees



Binary tree of size  $n \Rightarrow$  plane tree with  $n$  edges and extra root:

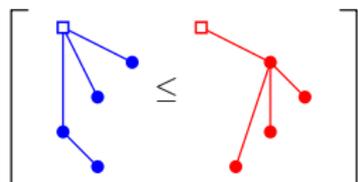
- Remove leaves;
- Left child  $\rightarrow$  left **sibling**;
- Right child  $\rightarrow$  rightmost child.



**Bracket vector:** size of sub-tree of each node in **prefix order**

The “opening” of a node is “closed” by the last descendant (or itself).

# Tamari intervals, three types of bijections



$[S, T]$ : Tamari interval with  $S, T$  plane trees. Three ways for bijections:

- Take  $T$ , and use  $S$  as decorations
  - [Trees with decorations](#)  $\Leftrightarrow$  maps (F.–Préville-Ratelle 2017, ...)
  - [Closed flow on forests](#) (Chapoton 2014, Chapoton–Châtel–Pons 2015, F. 2018) or [parking on trees](#) (Lackner–Panholzer 2016, Curien–Hénard 2022)
  - [Grafting trees](#) (Pons 2019) or  $\beta(1, 1)$ -trees (Cori–Schaeffer 2003)
- Mixing  $S$  and  $T$ 
  - [Interval posets](#) (Chapoton–Châtel–Pons 2014, Châtel–Pons 2015)
  - [Cubic coordinates](#) (Combe 2023)
  - [Blossoming trees](#) (Schaeffer 1997, F.–Fusy–Nadeau 2023+)
- Take  $S$ , and use  $T$  as decorations
  - [Maps with orientation](#) (Bernardi–Bonichon 2009)

# Bijections, the first way: closed flow, or parking

$[S, T]$ : Tamari interval with  $S, T$  plane trees

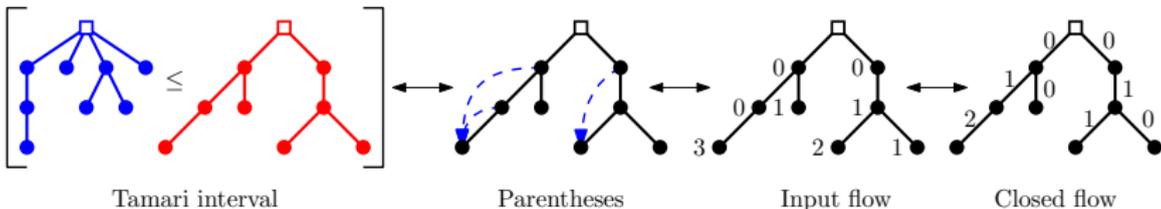
$(b_1, \dots, b_n), (c_1, \dots, c_n)$ : bracket vectors of  $S, T$  (resp.).  $b_i \leq c_i$  for all  $i$ .

“Opening” of node  $i$  in  $S$  is “closed” by a descendant of  $i$  (or itself) in  $T$ .

**Well-parenthesized**: only need #ancestors each node “closes”

**Closed flow** (Chapoton–Châtel–Pons 2015):

- Root-ward conserved flow, zero at root (well-parenthesized);
- Each non-root node has an input flow (#ancestors “closed”);
- Each non-root node consumes 1 unity of flow (its “opening”).



**Parking on tree** (Lackner–Panholzer 2016): input flow of cars parking on nodes

# Bijections, the first way: sticky trees

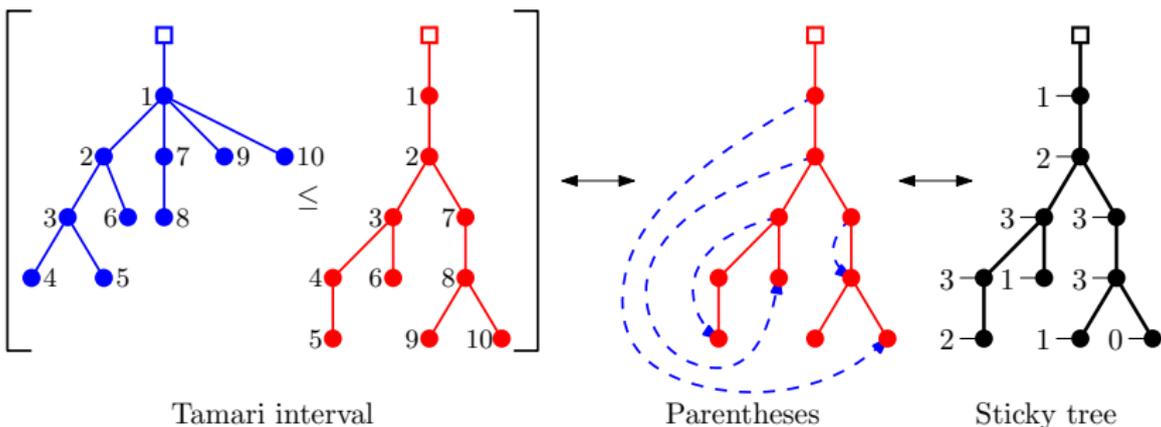
$[S, T]$ : Tamari interval with  $S, T$  plane trees

“Opening” of node  $i$  in  $S$  is “closed” by a descendant of  $i$  (or itself) in  $T$ .

**Well-parenthesized**: only need the furthest ancestor each node “closes”

**Sticky tree** (F. 2018): plane tree  $T$  with labeling  $\ell$

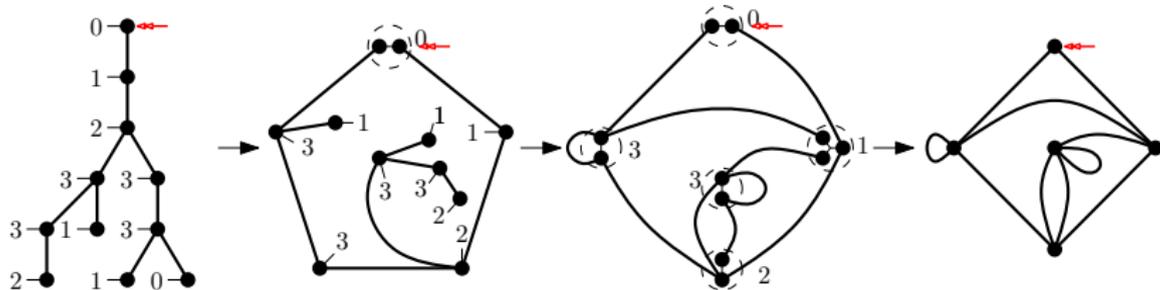
- If  $u$  closes no one, then  $\ell(u) =$  the depth of  $u$ ;
- Otherwise,  $\ell(u) =$  the depth of the last ancestor it **doesn't** “close”.



# Sticky trees and planar maps

**Planar map:** drawing of graphs on the plane without crossing

**Rooted:** with one corner of the infinite face distinguished



**Sticky trees**  $\Rightarrow$  **planar bridgeless maps:** “sticking” nodes of the same label together, while keeping planarity

**Well-parenthesized**  $\Rightarrow$  planar and bridgeless

**Planar bridgeless maps**  $\Rightarrow$  **sticky trees:** exploration process

# Tamari intervals and planar maps

The same approach applies to several families of intervals and maps.

Intervals	Formula	Planar maps
General	$\frac{2}{n(n+1)} \binom{4n+1}{n-1}$	bridgeless (F. 2018) 3-connected triangulation (Bernardi–Bonichon 2009, F. 2018)
Synchronized	$\frac{2}{n(n+1)} \binom{3n}{n-1}$	non-separable (F.–Préville-Ratelle 2017)
New/modern	$\frac{3 \cdot 2^{n-2}}{n(n+1)} \binom{2n-2}{n-1}$	bipartite (F. 2021)

What we can do with these **direct bijections**:

- Refined enumeration (e.g. (F. 2021))
- Symmetries (e.g. (F. 2018, F. 2021))
- Links to other objects (e.g., **fighting fish** (Duchi–Henrient 2023) and  **$\lambda$ -terms** (F. 2023))

# Bijections, the first way: grafting trees

$[S, T]$ : Tamari interval with  $S, T$  plane trees

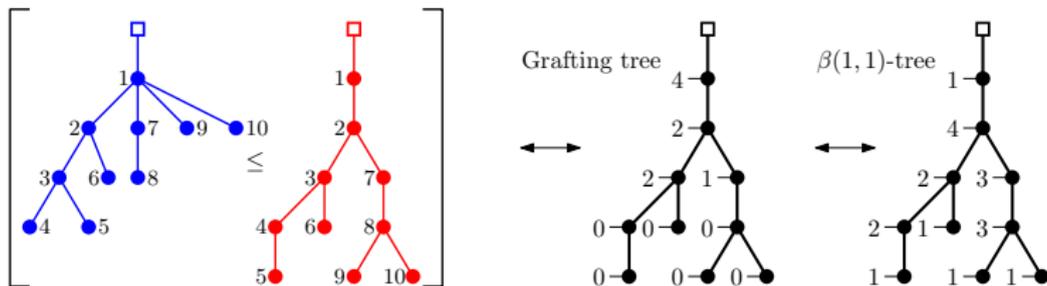
“Closing” of node  $i$  in  $S$  was “opened” by an ancestor of  $i$  (or itself) in  $T$ .

**Well-parenthesized**: simply #children of each node

**Grafting tree** (Pons 2019), plane tree version:  $T$  and labeling  $\ell$  such that

$$\forall \text{ node } u, 0 \leq \ell(u) \leq |T_u| - \sum_{v \in T_u \setminus \{u\}} \ell(v).$$

$\ell(u)$ : #descendants “opened” by  $u$ , or #children of  $u$  in  $S$



**$\beta(1,1)$ -tree** (Cori-Schaeffer 2003, decomposition of 3-connected cubic planar maps):

$T$  and labeling  $\ell'$  such that ( $\ell'(u)$ : #descendants (or itself) not yet “opened”)

$$\forall \text{ node } u, 1 \leq \ell'(u) \leq 1 + \sum_{v \text{ child of } u} \ell'(v).$$

# Bijections, the second way: interval posets

Bracket vector  $(b_i)_{i \in [n]}$ : right sub-tree of node  $i$  has  $i + 1, \dots, i + b_i$

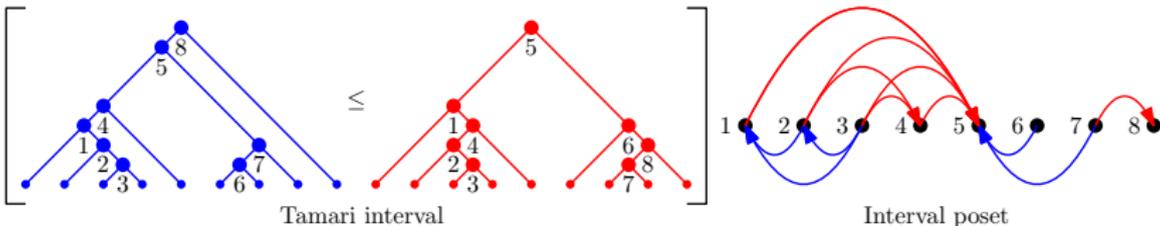
Dual bracket vector  $(d_i)_{i \in [n]}$ : left sub-tree of node  $i$  has  $i - 1, \dots, i - d_i$

$I = [S, T]$ : Tamari interval with

- $(b_1, \dots, b_n)$  the bracket vector for  $S$ ,
- $(d_1, \dots, d_n)$  the dual bracket vector for  $T$ .

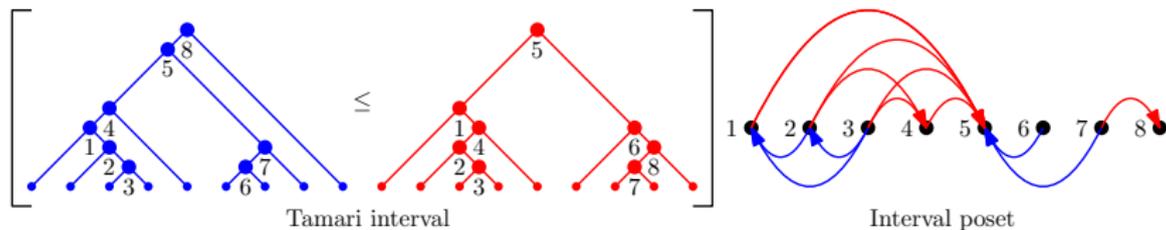
**Interval poset** (Chapoton–Châtel–Pons 2014), (Châtel–Pons 2015):  $(\leq_I, [n])$  with

- For all  $i$ , we have  $i + 1, \dots, i + b_i \leq_I i$ ;
- For all  $i$ , we have  $i - 1, \dots, i - d_i \leq_I i$ .



Tamari condition  $\Rightarrow (\leq_I, [n])$  goes down on both  $S, T$ , thus poset

# Applications of interval posets



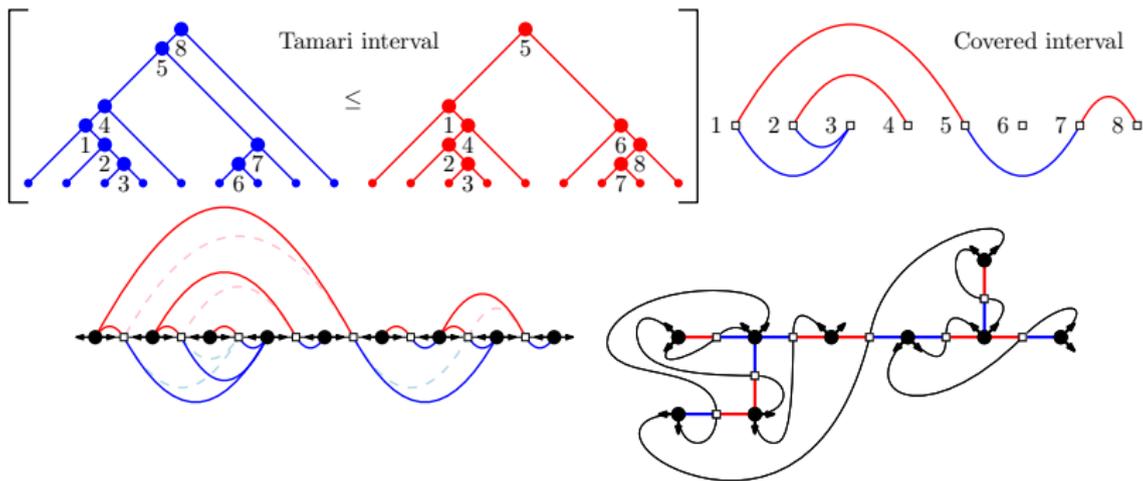
- Rise-contact symmetry of  $m$ -Tamari intervals (Pons 2019)
- Study of exceptional and (infinitely) modern intervals (Rognerud 2020)
- Cubic coordinates for geometry of Tamari interval poset (Combe 2023)
- Extended to binary relations on  $[n]$  (weak order, Hopf algebra) (Châtel–Pilaud–Pons 2019), (Pilaud–Pons 2020)

# Bijections, the second way: blossoming trees

$I = [S, T]$ : Tamari interval with

- $(b_1, \dots, b_n)$  the bracket vector for  $S$ ,
- $(d_1, \dots, d_n)$  the dual bracket vector for  $T$ ,
- Interval poset  $(\leq_I, [n])$  with  $i - d_i, \dots, i - 1, i, i + 1, \dots, i + b_i \leq_I i$ .

**Blossoming tree** (Schaeffer 1997, F.-Fusy-Nadeau 2023+): 2 buds on each node



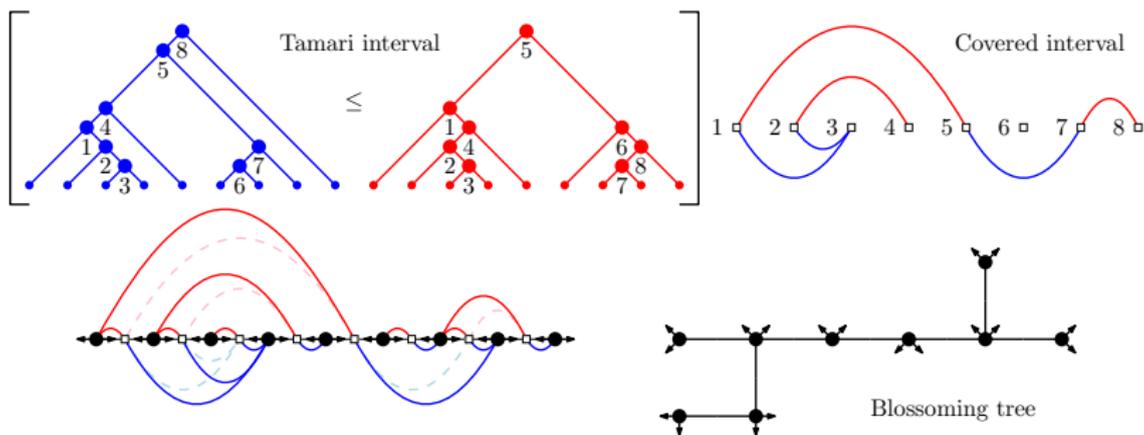
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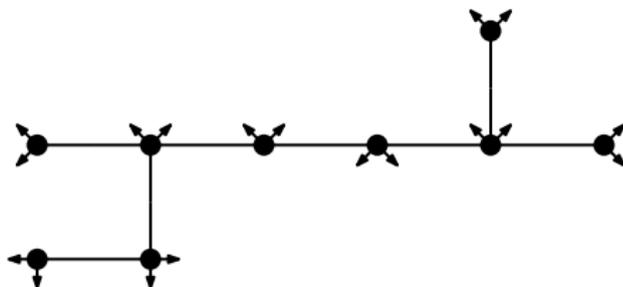
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# Applications of blossoming trees

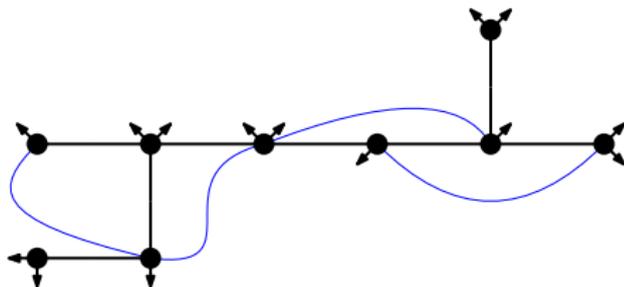
Blossoming trees to 3-connected planar triangulations (Poulalhon–Schaeffer 2006), (Albenque–Poulalhon 2013)



- Tree structure  $\Rightarrow$  **combinatorial manipulation** of generating functions
- Specializes to **synchronized** and **modern intervals**, with **enumerations**
- Easy symmetries (duality  $\leftrightarrow$  root reversing)
- Combinatorial proof of a formula in (Bostan–Chyzak–Pilaud 2023+)

# Applications of blossoming trees

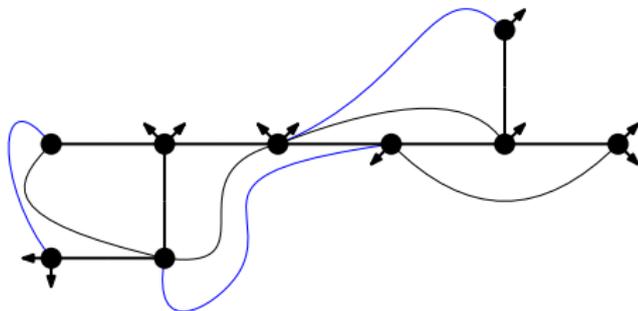
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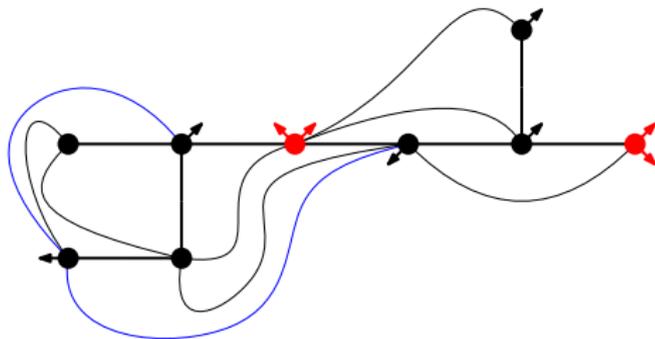
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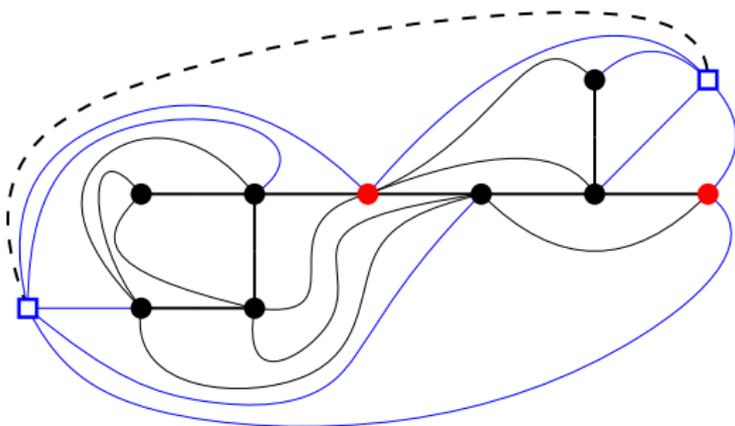
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# Bijections, the third way: maps with orientation

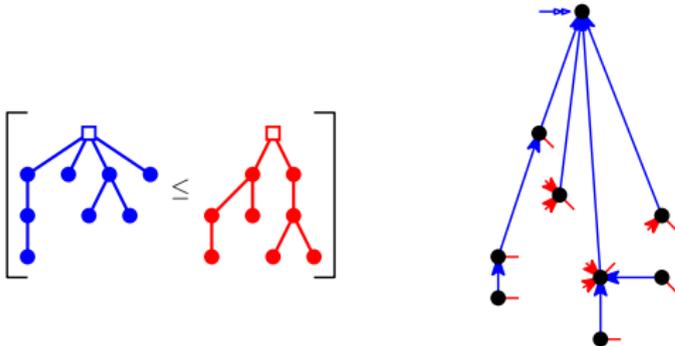
$[S, T]$ : Tamari interval with  $S, T$  plane trees

“Opening” of node  $i$  in  $T$  is **never** (?) “closed” by a descendant of  $i$  in  $S$ .

**Well-parenthesized**: “closed” by a child of an ancestor, or the root

**Schnyder woods** without ccw cycle (Schnyder 1989), (Bernardi–Bonichon 2009):

- **Blue tree** is  $S$ , with **red buds** given by  $T$  for pairing;
- One **tail** for each node; **#heads** = #nodes “closed” in  $T$ ;



In fact a special case of Stanley lattice, and works on Kreweras lattice.

# Bijections, the third way: maps with orientation

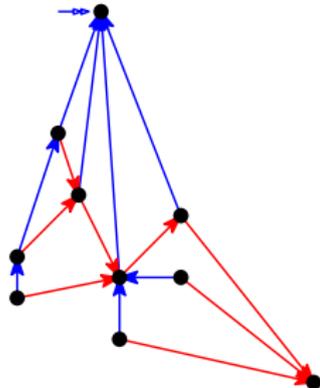
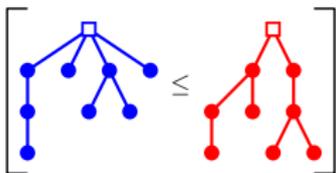
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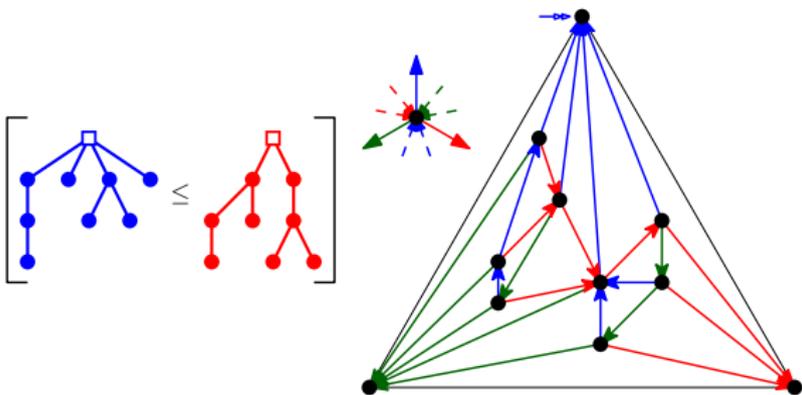
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# The case of $\nu$ -Tamari

Some of the constructions can be generalized to  $\nu$ -Tamari

- Equivalent of **binary trees** and **bracket vectors** for elements  
(Ceballos–Padrol–Sarmiento 2020)
- Intervals in bijection with **synchronized intervals** (F.–Préville–Ratelle 2017),  
thus bijections with maps (F.–Préville–Ratelle 2017) and fighting fish  
(Duchi–Henriet 2023)
- **Bernardi–Bonichon** generalizes to  $\nu$ -Tamari (Fusy–Humbert 2019+)

## Section 3

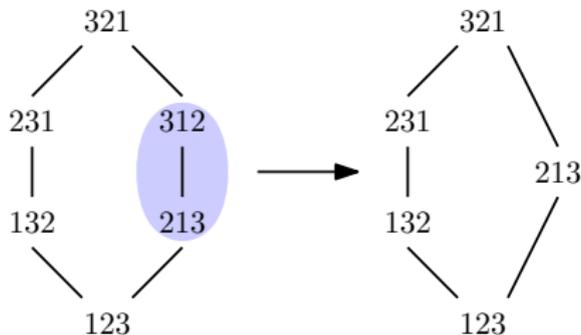
### Permutations, for extension

# Tamari lattice, as quotient of the weak order

$\mathfrak{S}_n$  as a Coxeter group generated by  $s_i = (i, i + 1)$

For  $w \in \mathfrak{S}_n$ ,  $\ell(w) = \min.$  length of factorization of  $w$  in  $s_i$

**(Left) weak order**  $\leq_{\text{weak}}$  :  $s_i w$  covers  $w$  iff  $\ell(s_i w) = \ell(w) + 1$



**Sylvester class** : permutations with the same binary search tree

Only one 231-avoiding in each class. Induced order = **Tamari**.

# Generalizing Tamari lattice with Coxeter groups

**Coxeter groups:**  $G = \langle s_1, \dots, s_n \mid (s_i s_j)^{m_{i,j}} \rangle$  with  $s_i^2 = 1$  and  $m_{i,j} \geq 2$ .

**Classification:**  $A_n \cong \mathfrak{S}_{n+1}$ ,  $B_n$ ,  $D_n$ ,  $I_2(p)$ ,  $E_6, E_7, E_8, F_4, H_3, H_4$

**Cambrian lattices** (Reading 2007):

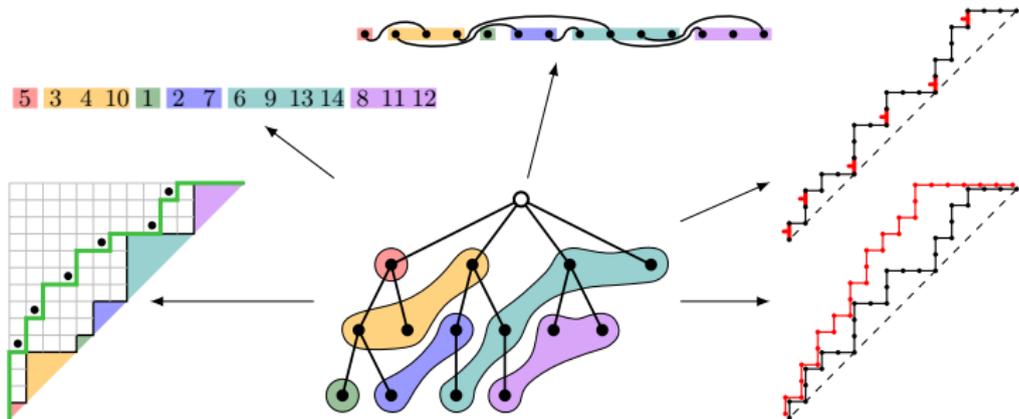
- Works for all types, with combinatorial models;
- On  $c$ -aligned elements, with  $c$  a Coxeter element (product of all  $s_i$ 's);
- Different  $c \Rightarrow$  same #elements but not for #intervals
- Further generalized to **permutrees** (Pilaud–Pons 2018) and  **$m$ -Cambrian lattices** (Stump–Thomas–Williams 2018+).

**Parabolic Tamari lattices** (Mühle–Williams 2019):

- Defined on **parabolic quotients**:  $G^J = G / \langle s_i \mid i \in J \rangle$  for  $J \subseteq [n]$
- Generalize Reading's Cambrian construction to parabolic quotients;
- With combinatorial models and bijections for type A.

# Parabolic Cataland, type A

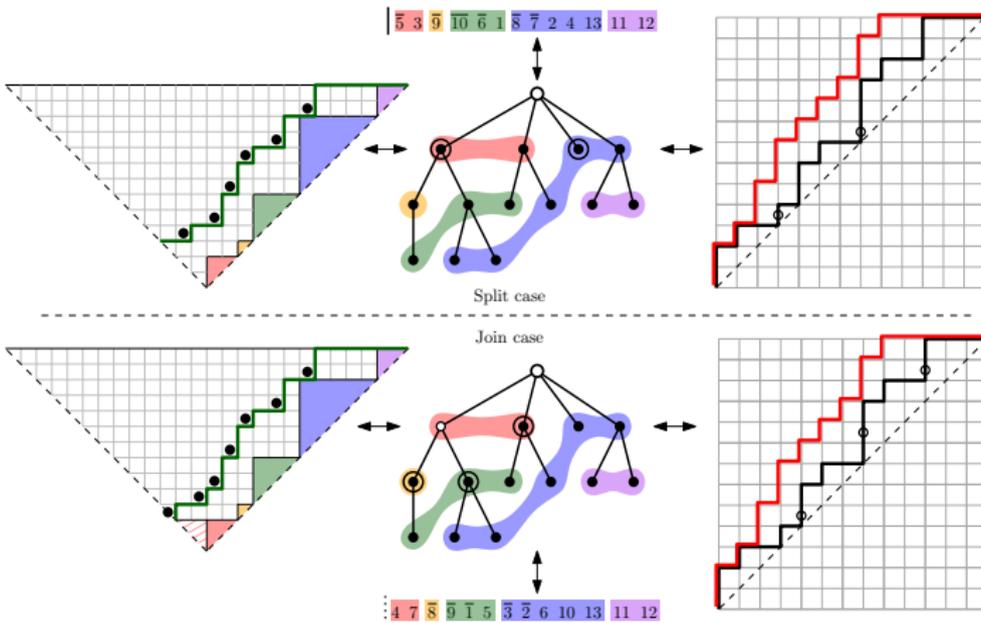
Simple model for parabolic Tamari lattices (Ceballos–F.–Mühle 2020)



- Simplifies some bijections in (Mühle–Williams 2019).
- Isomorphic to certain  $\nu$ -Tamari lattices.
- Links to [walks in the quadrant](#) in (Bousquet–Mélou–Mishna 2010).
- Solves a conjecture in (Bergeron–Ceballos–Pilaud 2022).
- Recovers the [zeta map](#) in  $q, t$ -Catalan combinatorics.

# Parabolic Cataland, type B

Work in progress! (F.-Mühle–Novelli 2023+)



- Counting in special cases
- Recovers the [type-C zeta map](#) (Sulzgruber–Thiel 2018)

# Open questions

- Find a natural planar map family for (unlabeled)  $m$ -Tamari intervals, counted by (Bousquet-Mélou–Fusy–Préville-Ratelle 2011)

$$\frac{m+1}{n(mn+1)} \binom{n(m+1)^2+m}{n-1}.$$

- Bijections between  $(m+1)$ -constellations and greedy  $m$ -Tamari intervals, known (Bousquet-Mélou–Chapoton 2023+) to be counted by

$$\frac{(m+2)(m+1)^{n-1}}{(mn+1)(mn+2)} \binom{(m+1)n}{n}.$$

- Prove that there are as many  $m$ -Cambrian intervals as  $m$ -Tamari intervals (Préville-Ratelle, personal communication).
- Definition of type-B  $\nu$ -Tamari lattices, at least for some  $\nu$  (Ceballos–Padrol–Sarmiento 2020).
- Enumeration of elements in the higher Stasheff–Tamari lattice through maximal chains in Tamari lattice (Rambau 1997, Nelson–Treat 2022).

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Thank you for listening!